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The
G20 Research Group
at Trinity College at the Munk School of Global Affairs
in the University of Toronto
presents the

2016 G20 Hangzhou Summit Interim Compliance Report

6 September 2016 to 17 February 2017

Prepared by
Sarah Scott, Alissa Xinhe Wang and the G20 Research Group, Toronto,
and Mark Rakhmangulov, Irina Popova, Andrey Shelepov, Andrei Sakharov and the
Center for International Institutions Research
of the Russian Presidential Academy of National Economy and Public Administration,
Moscow

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www.g20.utoronto.ca
g20@utoronto.ca

“The University of Toronto ... produced a detailed analysis to the extent of which each G20 country has met its commitments since the last summit ... I think this is important; we come to these summits, we make these commitments, we say we are going to do these things and it is important that there is an organisation that checks up on who has done what.”

— *David Cameron, Prime Minister, United Kingdom, at the 2012 Los Cabos Summit*

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4. Corruption

“We endorse the 2017-2018 G20 Anti-Corruption Action Plan to improve public and private sector transparency and integrity, implementing our stance of zero tolerance against corruption, zero loopholes in our institutions and zero barriers in our actions.”

G20 Hangzhou Leaders’ Communiqué

Assessment

	No Compliance	Partial Compliance	Full Compliance
Argentina			+1
Australia			+1
Brazil			+1
Canada			+1
China			+1
France		0	
Germany		0	
India		0	
Indonesia			+1
Italy		0	
Japan		0	
Korea			+1
Mexico	-1		
Russia		0	
Saudi Arabia		0	
South Africa	-1		
Turkey	-1		
United Kingdom			+1
United States			+1
European Union		0	
Average		+0.30	

Background

At the 2016 Hangzhou Summit, the G20 endorsed the 2017-2018 Anti-Corruption Action Plan to improve public and private sector transparency, emphasizing its stance of zero tolerance against corruption, zero loopholes in its institutions, and zero barriers in its actions.⁴⁷⁶ Leaders also endorsed the G20 High Level Principles on Cooperation on Persons Sought for Corruption and Asset Recovery, and committed to continuing the G20 Denial of Entry Experts Network.⁴⁷⁷

At the 2009 Pittsburgh Summit, the G20 first introduced the crime and corruption commitment, declaring in the Pittsburgh Leaders’ Communiqué that: “We are committed to maintain the momentum in dealing with tax havens, money laundering, proceeds of corruption, terrorist financing, and prudential standards.”⁴⁷⁸ At the 2010 Toronto Summit, this commitment continued to develop and the G20 agreed that corruption threatens the integrity of markets, undermines fair competition,

⁴⁷⁶ G20 Leaders’ Communiqué: Hangzhou Summit, the G20 Information Centre (Toronto) 5 September 2016. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2016/160905-communiqué.html>

⁴⁷⁷ G20 Leaders’ Communiqué: Hangzhou Summit, the G20 Information Centre (Toronto) 5 September 2016. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2016/160905-communiqué.html>

⁴⁷⁸ The G20 Pittsburgh Summit Commitments, the G20 Information Centre (Toronto). Access Date: 27 October 2016. <http://www.g20.utoronto.ca/analysis/commitments-09-pittsburgh.html>

distorts resource allocation, destroys public trust, and undermines the rule of law.⁴⁷⁹ At the 2010 Toronto Summit, in response to such agreement, the G20 leaders called for all countries to ratify and fully implement the United Nations Convention against Corruption (UNCAC), and to fully implement reviews in accordance with the provisions of UNCAC.⁴⁸⁰ The G20 also agreed to establish the G20 Anti-Corruption Working Group (ACWG), which was tasked with making comprehensive recommendations on how member countries could engage in the international effort of combating corruption.⁴⁸¹

At the 2010 Seoul Summit, the ACWG presented the first G20 Anti-Corruption Action Plan. At the 2011 Cannes Summit, the G20 presented a report on its members' progress on the Action Plan.⁴⁸² The G20 also committed to accelerating the ratification and implementation of UNCAC and increasing its engagements with the Organisation for Economic Co-operation and Development (OECD) Working Group on Bribery (OECD WGB).⁴⁸³

At the 2012 Los Cabos Summit, the G20 reiterated its previous two commitments mentioned above. The G20 also committed to enforcing anti-corruption legislation, and called for the publication of a guide on mutual legal assistance.⁴⁸⁴ The G20 extended the ACWG mandate to the end of 2014 in order to prepare an updated comprehensive action plan for the 2015-16 year as well as a second monitoring report indicating G20 member progress against the previous action plan for 2013-14.⁴⁸⁵

At the 2013 St. Petersburg Summit, the G20 endorsed its declaration of High-Level Principles on Mutual Legal Assistance, commitment to promote integrity in buy-and-sell relations between public and private sectors, and maintenance of a dialogue between the ACWG, the Business 20 (B20) and Civil 20 (C20).⁴⁸⁶ At the 2014 Brisbane, the G20 endorsed the 2015-2016 Anti-Corruption Action Plan, and implemented the G20 High-Level Principles on Beneficial Ownership Transparency.⁴⁸⁷ At the 2015 Antalya Summit, the G20 endorsed the G20 Anti-Corruption Open Data Principles and the G20 Principles for Promoting Integrity in Public Procurement.⁴⁸⁸

⁴⁷⁹ The G20 Toronto Summit Commitments, the G20 Information Centre (Toronto). Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2010/to-communique.html>

⁴⁸⁰ The G20 Toronto Summit Commitments, the G20 Information Centre (Toronto). Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2010/to-communique.html>

⁴⁸¹ The G20 Toronto Summit Commitments, the G20 Information Centre (Toronto). Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2010/to-communique.html>

⁴⁸² Cannes Summit Final Declaration – Building Our Common Future: Renewed Collective Action for the Benefit of All, the G20 Information Centre (Toronto) 4 November 2011. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2011/2011-cannes-declaration-111104-en.html>

⁴⁸³ Cannes Summit Final Declaration – Building Our Common Future: Renewed Collective Action for the Benefit of All, the G20 Information Centre (Toronto) 4 November 2011. Access Date: 27 October 2016.

<http://www.g20.utoronto.ca/2011/2011-cannes-declaration-111104-en.html>

⁴⁸⁴ G20 Leaders Declaration Los Cabos, Mexico, the G20 Information Centre (Toronto) 19 June 2012. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2012/2012-0619-loscabos.html>

⁴⁸⁵ G20 Leaders Declaration Los Cabos, Mexico, the G20 Information Centre (Toronto) 19 June 2012. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2012/2012-0619-loscabos.html>

⁴⁸⁶ G20 Leaders' Declaration St. Petersburg (Intensifying Fight Against Corruption), the G20 Information Centre (Toronto) 6 September 2013. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2013/2013-0906-declaration.html#corruption>

⁴⁸⁷ G20 Leaders' Communique Brisbane, the G20 Information Centre (Toronto) 16 November 2014. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2014/2014-1116-communique.html>

⁴⁸⁸ G20 Leaders' Communique Antalya, Turkey, the G20 Information Centre (Toronto), 16 November 2015. Access Date: 27 October 2016. <http://www.g20.utoronto.ca/2015/151116-communique.html>

Commitment Features

The G20 has endorsed the 2017-18 Anti-Corruption Action Plan and committed to improve public and private sector transparency and integrity by implementing its stance of zero tolerance against corruption, zero loopholes in its institutions, and zero barriers in its actions.

This commitment has two parts: 1) improve public sector transparency and integrity and 2) improve private sector transparency and integrity. In the 2017-2018 Anti-Corruption Action Plan, the G20 defines both of these parts.

1) Private sector integrity and transparency:

The G20 member must work closely with business and civil society in tackling corruption. The G20 member must explore means of promoting a culture of integrity and supporting private sector anti-corruption initiatives, including for small and medium sized enterprises (SMEs) and in the non-financial professional services sector. The G20 member must encourage stronger partnerships, consistent with national law, between governments, anti-corruption authorities, regulators, law enforcement, financial intelligence units (FIUs), business and civil society.

2) Public sector integrity and transparency:

The G20 member must promote greater transparency in public sector spending, including in public contracting, budget processes and customs. This may be achieved through citizen engagement, strengthening anti-corruption authorities, public-private partnerships and the use of open data, building on the G20 Open Data Principles. The G20 member must also promote a culture of integrity and accountability in its institutions, including by preventing and resolving conflicts of interest affecting public officials. The G20 member's priorities include organising against corruption (i.e. structuring the public administration to detect and minimise corruption risks), encouraging public institutions to implement anti-corruption initiatives, building international integrity partnerships and networks, and addressing immunities. Encouraging the reporting of suspected actions of corruption is critical to deterring and detecting it. The G20 will promote this goal, including reviewing its progress in implementing legislative and institutional protections for whistleblowers.

For full compliance, the G20 member must comply with both parts of the commitment by taking action in all or almost all components of each part. Partial compliance will be received if the G20 member complies with one of the two parts or complies with only some components of both parts. Noncompliance will be received if the G20 member does not comply with either part.

Scoring Guidelines

-1	G20 member did not take actions to improve public and private sector transparency and integrity.
0	G20 member has taken actions to improve public OR private sector transparency and integrity OR G20 member has taken few actions to improve both public AND private sector transparency and integrity.
+1	G20 member has taken actions to improve both public AND private sector transparency and integrity.

Lead Analyst: Grace Lee

Argentina: +1

Argentina has fully complied with its commitment to improve public and private sector transparency and integrity.

On 8 September 2016, the senate unanimously approved the Access to Public Information bill, which aims to allow all citizens to request and receive information from the state in a timely and accurate manner.⁴⁸⁹

On 12 September 2016, the Treasury and Public Finance Minister met with the Federal Council of Fiscal Responsibility for the first time in five years to discuss improved economic coordination between all levels of government.⁴⁹⁰ On 25 October 2016, the group reconvened and agreed on amendments to the Fiscal Responsibility Act, promising transparency in provincial public accounts.⁴⁹¹

On 15 September 2016, the Minister of Treasury and Public Finance Alfonso Prat-Gay presented the 2017 draft budget to the Budget Committee of the Chamber of Deputies.⁴⁹² The budget details funding for Small and Medium-sized Enterprises (SMEs), investment in public social programs, and an effort to govern with transparency by limiting the “super powers” of the federal government that led to financial mismanagement in the past.⁴⁹³ The budget went on to be approved on 30 November 2016 by the Argentine Senate.⁴⁹⁴

On 21 September 2016, the senate approved the Private Public Participation (PPP) initiative proposed by the executive branch.⁴⁹⁵ This initiative partners the private sector and the state in public infrastructure projects and establishes set obligations and penalties for noncompliance.⁴⁹⁶

On 22 September 2016, the federal government introduced a new tool for citizens to voice opinions online regarding the drafting of the Converged Communications Act, which will improve the providing of feedback and services to citizens.⁴⁹⁷

On 27 September 2016, the Modernisation Minister Andrés Ibarra announced that the regional Alliance for Open Government Summit will be held in Argentina in 2017.⁴⁹⁸ This summit brings

⁴⁸⁹ Senate approves changes to the Project Repent and Access to Public Information, Senate of Argentina (Buenos Aires) 8 September 2016. Access Date: 4 November 2016. <http://www.senado.gov.ar/prensa/14368/noticias>

⁴⁹⁰ The Federal Fiscal Responsibility Council met for the first time since 2011, Ministry of Treasury and Public Finances (Buenos Aires) 12 September 2016. Access Date: 4 November 2016. <http://www.economia.gob.ar/se-reunio-el-consejo-federal-de-responsabilidad-fiscal-por-primera-vez-desde-2011/>

⁴⁹¹ The Minister of Treasury and Public Finances agreed with provincial peers a gradual path toward balance and fiscal transparency, Ministry of the Treasury and Public Finances (Buenos Aires) 25 October 2016. Access Date: 4 November 2016. <http://www.economia.gob.ar/el-ministro-de-hacienda-y-finanzas-acordo-con-sus-pares-provinciales-un-camino-gradual-hacia-el-equilibrio-y-la-transparencia-fiscal/>

⁴⁹² Alfonso Prat-Gay presented the Draft Budget 2017: “This is a budget based on the truth”, Ministry of Treasury and Public Finances (Buenos Aires) 15 September 2016. Access Date: 4 November 2016. <http://www.economia.gob.ar/alfonso-prat-gay-presento-el-proyecto-del-presupuesto-2017-este-es-un-presupuesto-que-esta-basado-en-la-verdad/>

⁴⁹³ Alfonso Prat-Gay presented the Draft Budget 2017: “This is a budget based on the truth”, Ministry of Treasury and Public Finances (Buenos Aires) 15 September 2016. Access Date: 4 November 2016. <http://www.economia.gob.ar/alfonso-prat-gay-presento-el-proyecto-del-presupuesto-2017-este-es-un-presupuesto-que-esta-basado-en-la-verdad/>

⁴⁹⁴ Argentina’s Senate approves 2017 Budget, Reuters (Buenos Aires) 30 November 2016. Access Date: 30 January 2017. <http://www.cnbc.com/2016/11/30/reuters-america-argentinas-senate-approves-2017-budget.html>

⁴⁹⁵ Senate approves the Public Procurement System-Private, Senate of Argentina (Buenos Aires) 21 September 2016. Access Date: 4 November 2016. <http://www.senado.gov.ar/prensa/14423/noticias>

⁴⁹⁶ Senate approves the Public Procurement System-Private, Senate of Argentina (Buenos Aires) 21 September 2016. Access Date: 4 November 2016. <http://www.senado.gov.ar/prensa/14423/noticias>

⁴⁹⁷ The government is seeking more opinions to develop the draft Communications Act, Office of the President (Buenos Aires) 22 September 2016. Access Date: 6 November 2016. <http://www.caserosada.gob.ar/gobierno-informa/37368-el-gobierno-busca-mas-opiniones-para-elaborar-el-proyecto-de-ley-de-comunicaciones>

together government agencies, businesses, and civil society from over 60 countries with the goal of sharing ideas and putting forward commitments to more open, accountable government while promoting civic engagement and responsiveness to citizen demands.⁴⁹⁹

On 29 September 2016, the Supreme Court of Justice and the National Congress signed an agreement to ensure transparency in judicial processes.⁵⁰⁰ This agreement authorizes Congress to monitor the Judicial Assistance Complex Crime and Organized Crime Judiciary, to ensure fairness and institutional collaboration and oversight against the prosecution of crimes at the national and transnational level.⁵⁰¹

On 11 October 2016, President Mauricio Macri announced the Open Data Portal Justice which is a program to establish a modern national system of judicial statistics that are in line with the idea of open government and transparency in justice.⁵⁰²

On 19 October 2016, President Macri and representatives from various government agencies and private sector actors held a meeting for the Dialogue for Production and Work and introduced the National Productive Plan.⁵⁰³ The plan hopes to create fiscal efficiency, de-bureaucratization, skilled workforce development, and greater cross sector partnerships.⁵⁰⁴

During the week of 22 October 2016, the federal government sent a series of laws to Congress that would sanction businesses found guilty of corruption involving the public sector.⁵⁰⁵ Current laws only punish individuals and fail to hold the companies accountable as well.⁵⁰⁶ New laws would decrease punishment for cooperative companies during investigations of corruption.⁵⁰⁷

On 28 October 2016, the President of the Chamber of Deputies of the Nation Emilio Monzó and the Minister of Modernization of the Nation Ibarra signed a Cooperation Agreement that introduces

⁴⁹⁸ Argentina will host the regional summit of the Alliance for Open Government, Office of the President (Buenos Aires) 27 September 2016. Access Date: 4 November 2016. <http://www.casarosada.gob.ar/gobierno-informa/37406-la-argentina-sera-sede-de-la-cumbre-regional-de-la-alianza-para-el-gobierno-abierto>

⁴⁹⁹ Argentina will host the regional summit of the Alliance for Open Government, Office of the President (Buenos Aires) 27 September 2016. Access Date: 4 November 2016. <http://www.casarosada.gob.ar/gobierno-informa/37406-la-argentina-sera-sede-de-la-cumbre-regional-de-la-alianza-para-el-gobierno-abierto>

⁵⁰⁰ The Supreme Court of Justice of the Nation signed an agreement with Congress for greater transparency, Chamber of Deputies of Argentina (Buenos Aires) 29 September 2016. Access Date: 4 November 2016. http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0035.html

⁵⁰¹ The Supreme Court of Justice of the Nation signed an agreement with Congress for greater transparency, Chamber of Deputies of Argentina (Buenos Aires) 29 September 2016. Access Date: 4 November 2016. http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0035.html

⁵⁰² The President presented the Open Data Portal Justice, Office of the President (Buenos Aires) 11 October 2016. Access Date: 5 November 2016. <http://www.casarosada.gob.ar/slider-principal/37521-el-presidente-mauricio-macri-presento-el-portal-de-datos-abiertos-de-la-justicia>

⁵⁰³ "Dialogue for Production and Work" began, Office of the President (Buenos Aires) 19 October 2016. Access Date: 6 November 2016. <http://www.casarosada.gob.ar/slider-principal/37590-se-inicio-hoy-el-dialogo-para-la-produccion-y-el-trabajo>

⁵⁰⁴ "Dialogue for Production and Work" began, Office of the President (Buenos Aires) 19 October 2016. Access Date: 6 November 2016. <http://www.casarosada.gob.ar/slider-principal/37590-se-inicio-hoy-el-dialogo-para-la-produccion-y-el-trabajo>

⁵⁰⁵ Argentina looks to battle corporate and public corruption with new laws, Reuters (London) 22 October 2016. Access Date: 5 November 2016. <http://www.reuters.com/article/us-argentina-corruption-idUSKCN12M0NI>

⁵⁰⁶ Argentina looks to battle corporate and public corruption with new laws, Reuters (London) 22 October 2016. Access Date: 5 November 2016. <http://www.reuters.com/article/us-argentina-corruption-idUSKCN12M0NI>

⁵⁰⁷ Argentina looks to battle corporate and public corruption with new laws, Reuters (London) 22 October 2016. Access Date: 5 November 2016. <http://www.reuters.com/article/us-argentina-corruption-idUSKCN12M0NI>

new information and communication technologies to administrative management.⁵⁰⁸ The agreement will facilitate the Program for Parliamentary Modernization, Innovation, Transparency, and Democratic Strengthening approved in June 2016 by Congress.⁵⁰⁹ The aim is to improve management, facilitate administration of information, and promote transparency.⁵¹⁰

On 29 October 2016, the National Congress approved a bill to extend benefits for whistleblowers in crimes against the public administration, corruption, and criminal offenses in relation to economics and finance.⁵¹¹ The addition to the Argentine Criminal Code reduces penalties for individuals who provide reliable information, facts, or statistics in cases under investigation.⁵¹²

On 16 November 2016, the senate voted to convert the Public Private Participation (PPP) project into law with the changes introduced by the Chamber of Deputies.⁵¹³ The changes introduced were prioritization of national industries for contracts and environmental requirements.⁵¹⁴

On 21 November 2016, the President of the Senate Gabriela Michetti announced the creation of an Information Access Directorate and the application of a biometric system.⁵¹⁵ The biometric system is to enhance security of information, while the directorate will be created to enhance public official accountability to citizens and strengthen transparency in the Senate.⁵¹⁶

On 1 December 2016, the National Congress hosted a debate on Access to Public Information of the Parliaments.⁵¹⁷ Led by the Coordinating Director of the Program for Modernization, Innovation, Transparency, and Democratic Strengthening, the panel sought to learn from experiences presented by a Chilean delegation to improve government transparency in terms of information access for

⁵⁰⁸ The Chamber of Deputies and the Ministry of Modernization signed a Technical Cooperation Agreement, Chamber of Deputies of Argentina (Buenos Aires) 28 October 2016. Access Date: 29 December 2016.

http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0047

⁵⁰⁹ The Chamber of Deputies and the Ministry of Modernization signed a Technical Cooperation Agreement, Chamber of Deputies of Argentina (Buenos Aires) 28 October 2016. Access Date: 29 December 2016.

http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0047

⁵¹⁰ The Chamber of Deputies and the Ministry of Modernization signed a Technical Cooperation Agreement, Chamber of Deputies of Argentina (Buenos Aires) 28 October 2016. Access Date: 29 December 2016.

http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0047

⁵¹¹ Argentina: Benefits for whistleblowers extended to investigations related to corruption, customs crimes and economic and financial crimes, Lexology (London) 29 October 2016. Access Date: 5 November 2016.

<http://www.lexology.com/library/detail.aspx?g=1edb660e-47f0-4eda-9e74-30758ec1241d>

⁵¹² Argentina: Benefits for whistleblowers extended to investigations related to corruption, customs crimes and economic and financial crimes, Lexology (London) 29 October 2016. Access Date: 5 November 2016.

<http://www.lexology.com/library/detail.aspx?g=1edb660e-47f0-4eda-9e74-30758ec1241d>

⁵¹³ The Private Public Participation regime is a law, Senate of Argentina (Buenos Aires) 16 November 2016. Access Date: 21 December 2016. <http://www.senado.gov.ar/prensa/14660/noticias>

⁵¹⁴ The Private Public Participation regime is a law, Senate of Argentina (Buenos Aires) 16 November 2016. Access Date: 21 December 2016. <http://www.senado.gov.ar/prensa/14660/noticias>

⁵¹⁵ Michetti announced measures to transparent the activity in Senate, Senate of Argentina (Buenos Aires) 21 November 2016. Access Date: 29 December 2016. <http://www.senado.gov.ar/prensa/14675/noticias>

⁵¹⁶ Michetti announced measures to transparent the activity in Senate, Senate of Argentina (Buenos Aires) 21 November 2016. Access Date: 29 December 2016. <http://www.senado.gov.ar/prensa/14675/noticias>

⁵¹⁷ Conference on "Access to Public Information of Parliament", Chamber of Deputies of Argentina (Buenos Aires) 1 December 2016. Access Date: 29 December 2016. http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0064

citizens.⁵¹⁸ The debate centred on improvements to the Law on Access to Public Information and increased modernization of current government infrastructure.⁵¹⁹

On 2 December 2016, the European Investment Bank (EIB) approved a EUR60 million line of credit for the Bank of Investment and Foreign Trade (BICE) to develop micro and SMEs.⁵²⁰ The loan is intended to provide credit for SMEs, develop 200 investment projects, and lines of credit for SMEs and renewable energy projects.⁵²¹ The loans are intended to strengthen the financial and working relationship between the government and SMEs and to foster growth and accountability in the private sector.⁵²²

On 23 December 2016, the Minister of Treasury and Public Finance Prat-Gay and the US Ambassador to Argentina Noah Mamet signed an agreement on information exchange.⁵²³ This agreement is designed to combat tax evasion and promote fiscal transparency.⁵²⁴ It created the legal framework to share information between Argentina and the US, promoting the ability to use information to prosecute individuals undertaking financial tax crimes and financial corruption in both countries.⁵²⁵

On 27 December 2016, a federal judge approved charges of illicit association and fraudulent administration against former Argentinian President Cristina Fernández de Kirchner.⁵²⁶ The

⁵¹⁸ Conference on “Access to Public Information of Parliament”, Chamber of Deputies of Argentina (Buenos Aires) 1 December 2016. Access Date: 29 December 2016. http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0064

⁵¹⁹ Conference on “Access to Public Information of Parliament”, Chamber of Deputies of Argentina (Buenos Aires) 1 December 2016. Access Date: 29 December 2016. http://www.hcdn.gov.ar/prensa/noticias/2016/noticia_0064

⁵²⁰ The European Investment Bank provides a line of credit of 60 million euros for the development of SMEs, Ministry of Treasury and Public Finances (Buenos Aires) 7 December 2016. Access Date: 3 January 2017. <http://www.economia.gob.ar/el-banco-europeo-de-inversiones-otorga-una-linea-de-credito-de-60-millones-de-euros-para-el-desarrollo-de-pymes/>

⁵²¹ The European Investment Bank provides a line of credit of 60 million euros for the development of SMEs, Ministry of Treasury and Public Finances (Buenos Aires) 7 December 2016. Access Date: 3 January 2017. <http://www.economia.gob.ar/el-banco-europeo-de-inversiones-otorga-una-linea-de-credito-de-60-millones-de-euros-para-el-desarrollo-de-pymes/>

⁵²² The European Investment Bank provides a line of credit of 60 million euros for the development of SMEs, Ministry of Treasury and Public Finances (Buenos Aires) 7 December 2016. Access Date: 3 January 2017. <http://www.economia.gob.ar/el-banco-europeo-de-inversiones-otorga-una-linea-de-credito-de-60-millones-de-euros-para-el-desarrollo-de-pymes/>

⁵²³ Argentina and the United States sign an Agreement on the exchange of tax information to combat tax evasion, Ministry of Treasury and Public Finances (Buenos Aires) 23 December 2016. Access Date: 3 January 2017. <http://www.economia.gob.ar/argentina-y-estados-unidos-firman-un-acuerdo-sobre-intercambio-de-informacion-tributaria-para-luchar-contra-la-evasion-fiscal/>

⁵²⁴ Argentina and the United States sign an Agreement on the exchange of tax information to combat tax evasion, Ministry of Treasury and Public Finances (Buenos Aires) 23 December 2016. Access Date: 3 January 2017. <http://www.economia.gob.ar/argentina-y-estados-unidos-firman-un-acuerdo-sobre-intercambio-de-informacion-tributaria-para-luchar-contra-la-evasion-fiscal/>

⁵²⁵ Argentina and the United States sign an Agreement on the exchange of tax information to combat tax evasion, Ministry of Treasury and Public Finances (Buenos Aires) 23 December 2016. Access Date: 3 January 2017. <http://www.economia.gob.ar/argentina-y-estados-unidos-firman-un-acuerdo-sobre-intercambio-de-informacion-tributaria-para-luchar-contra-la-evasion-fiscal/>

⁵²⁶ Judge indicts former Argentine President in corruption case, Associated Press (Buenos Aires) 27 December 2016. Access Date: 04 January 2017. <http://bigstory.ap.org/article/1c322aa54c8f409aa9db91b11f83b2e2/judge-indicts-former-argentine-president-corruption-case>

indictment is part of ongoing investigations of former officials that left the government over allegations of corruption.⁵²⁷

Argentina has taken substantial actions to improve public and private sector transparency and integrity. Thus, Argentina receives a score of +1.

Analyst: Alexander Fernandes

Australia: +1

Australia has fully complied with its commitment to improve public and private sector transparency and integrity.

On 6 September 2016, the Australian Transactions Reports Analysis Centre (AUSTRAC) revealed its partnership with other agencies in the Serious Financial Crime Taskforce (SFTC) to investigate over 1,000 Australian entities identified in the Panama Papers leak.⁵²⁸ Paul Jevtovic, CEO of AUSTRAC, stated that the agency has been working with the private sector and financial intelligence units to “generate and share financial intelligence arising from the Panama Papers.” He also stated that Australia was working to “safeguard the integrity of Australia’s financial system and the economy” in regard to laundering of illicit funds.⁵²⁹

On 12 September 2016, the Department of Foreign Affairs and Trade published the 2016 grant agreement, Anti-Corruption for Peaceful and Inclusive Societies in the Asia Pacific Program, for the United Nations Development Program’s (UNDP).⁵³⁰ The Government of Australia will contribute AUD6,550,665 to help integrate anti-corruption mechanisms into national government policies.⁵³¹

On 13 September 2016, Justice Minister Michael Keenan stated that the Australian government will be extending anti-money laundering laws to lawyers, accountants, real estate agents, and jewelers.⁵³²

On 18 October 2016, AUSTRAC published its 2015-16 annual report that revealed the agency’s continuous focus on preventing money laundering.⁵³³ Mr. Jevtovic stated that AUSTRAC’s goal is

⁵²⁷ Judge indicts former Argentine President in corruption case, Associated Press (Buenos Aires) 27 December 2016. Access Date: 04 January 2017. <http://bigstory.ap.org/article/1c322aa54c8f409aa9db91b11f83b2e2/judge-indicts-former-argentine-president-corruption-case>

⁵²⁸ AUSTRAC follows the Panama Papers’ money trail, Australian Transaction Reports and Analysis Centre (Sydney) 6 September 2016. Access Date: 11 November 2016. <http://www.austrac.gov.au/media/media-releases/austrac-follows-panama-papers%E2%80%99-money-trail>

⁵²⁹ AUSTRAC follows the Panama Papers’ money trail, Australian Transaction Reports and Analysis Centre (Sydney) 6 September 2016. Access Date: 11 November 2016. <http://www.austrac.gov.au/media/media-releases/austrac-follows-panama-papers%E2%80%99-money-trail>

⁵³⁰ Grant Agreement–UNDP–Anti-Corruption for Peaceful and Inclusive Societies (ACPI) in the Asia Pacific, Department of Foreign Affairs and Trade (Canberra) 12 September 2016. Access Date: 11 November 2016. <http://dfat.gov.au/about-us/publications/Pages/grant-agreement-undp-anti-corruption-peaceful-inclusive-societies-asia-pacific-acpi.aspx>

⁵³¹ Grant Agreement–UNDP–Anti-Corruption for Peaceful and Inclusive Societies (ACPI) in the Asia Pacific, Department of Foreign Affairs and Trade (Canberra) 12 September 2016. Access Date: 8 November 2016. <http://dfat.gov.au/about-us/publications/Documents/grant-agreement-undp-anti-corruption-peaceful-inclusive-societies-asia-pacific-acpi.pdf>

⁵³² Australia to extend money laundering laws, Reuters (London) 14 September 2016. Access Date: 8 November 2016. <http://www.reuters.com/article/australia-moneylaundrying-regulations-idUSL3N1BP2A7>

⁵³³ AUSTRAC 2015-16 annual report released, Australian Transaction Reports and Analysis Centre (Sydney) 18 October 2016. Access Date: 8 November 2016. <http://www.austrac.gov.au/media/media-releases/austrac-2015-16-annual-report-released>

not to keep up with environmental and technological changes, but to be ahead of the change “in order to protect the integrity of Australia’s financial sector.”⁵³⁴

On 31 October 2016, the Australian government published its first draft of the Open Government National Action Plan.⁵³⁵ The final plan, released on 7 December 2016, contains 15 commitments that will promote transparency and accountability in business, open data and digital transformation, access to government information, integrity in the public sector, and public participation and engagement.⁵³⁶

On 2 November 2016, AUSTRAC signed a Memorandum of Understanding (MoU) with the China Anti-Money Laundering Monitoring and Analysis Centre (CAMLMAC) that will support transparency and accountability on how intelligence is used by both actors.⁵³⁷

On 3 November 2016, the AUSTRAC website provided an online contact form to make the agency more accessible for start-up businesses and FinTech operators who seek guidance on Australia’s anti-money laundering framework.⁵³⁸

As of 12 November 2016, the Australian Competition and Consumer Commission (ACCC) enacted a new law intended to remove unfair contract terms in small businesses.⁵³⁹ The law is a response to a report released by the ACCC which revealed “common terms of concern” in small business contracts.⁵⁴⁰

On 17 November 2016, AUSTRAC announced that it is working with the Australian Charities and Not-for-profits Commission (ACNC) and the Australian Taxation Office (ATO) to conduct a

⁵³⁴ AUSTRAC 2015-16 annual report released, Australian Transaction Reports and Analysis Centre (Sydney) 18 October 2016. Access Date: 8 November 2016. <http://www.austrac.gov.au/media/media-releases/austrac-2015-16-annual-report-released>

⁵³⁵ Australia publishes first government transparency action plan, Global Government Forum (London) 11 November 2016. Access Date: 8 November 2016. <http://www.globalgovernmentforum.com/australia-publishes-first-government-transparency-action-plan/>

⁵³⁶ Australia’s First Open Government National Action Plan 2016-18, Public Data Branch Department of the Prime Minister and Cabinet (Canberra) October 2016. Access Date: 8 November 2016. <http://ogpau.pmc.gov.au/sites/default/files/files/2016/10/Australias-first-Open-Government-National-Action-Plan-Draft-for-consultation-Accessible.pdf>

⁵³⁷ AUSTRAC signs historic MoU with China, Australian Transaction Reports and Analysis Centre (Sydney) 2 November 2016. Access Date: 8 November 2016. <http://www.austrac.gov.au/media/media-releases/austrac-signs-historic-mou-china>

⁵³⁸ AUSTRAC calls on FinTechs and start-ups to make contact about AML/CTF, Australian Transaction Reports and Analysis Centre (Sydney) 3 November 2016. Access Date: 8 November 2016. <http://www.austrac.gov.au/media/media-releases/austrac-calls-fintechs-and-start-ups-make-contact-about-amlctf>

⁵³⁹ Businesses remove unfair contract terms before new law, Australian Competition & Consumer Commission (Canberra) 10 November 2016. Access Date: 8 November 2016. <http://www.accc.gov.au/media-release/businesses-remove-unfair-contract-terms-before-new-law>

⁵⁴⁰ Businesses remove unfair contract terms before new law, Australian Competition & Consumer Commission (Canberra) 10 November 2016. Access Date: 8 November 2016. <http://www.accc.gov.au/media-release/businesses-remove-unfair-contract-terms-before-new-law>

national risk assessment on the not-for-profit sector.⁵⁴¹ The risk assessment aims to better understand the money laundering, as well as terrorist financing, risks faced by the not-for-profit sector.⁵⁴²

On 22 November 2016, AUSTRAC's first ever risk assessment of money laundering and terrorism financing in the country's superannuation sector identified medium risks of fraud and cybercrime.⁵⁴³ Paul Jevtovic, CEO of AUSTRAC, stated that the centre will work with the superannuation sector in preventing financial crime in the future.⁵⁴⁴

On 24 November 2016, AUSTRAC and Jordan's counterpart, the Anti-Money Laundering Unit (AMLU), signed a MoU to permit the exchange of financial intelligence to combat serious financial crime in Australia or Jordan, as part of a global effort.⁵⁴⁵

On 15 December 2016, AUSTRAC published two fact sheets for pubs and clubs that outline myths about money laundering and how they can protect themselves from money laundering.⁵⁴⁶

On 15 December 2016, AUSTRAC became the Chair of the International Supervisors Forum (ISF).⁵⁴⁷ ISF was created by government agencies from Australia, the United States, Canada, the United Kingdom, and New Zealand to strengthen domestic and international compliance programs to combat financial crimes such as money laundering.⁵⁴⁸

On 15 December 2016, ATO published its corporate tax transparency report detailing tax information of 1,904 large companies.⁵⁴⁹ In response to the report, Tax Commissioner Chris Jordan stated that the ATO has renewed its efforts to provide taxpayers and their advisers early warnings regarding these large companies so that taxpayers make better informed decisions.⁵⁵⁰ Commissioner

⁵⁴¹ National risk assessment on the not-for-profit sector, Australian Transaction Reports and Analysis Centre (Sydney) 17 November 2016. Access Date: 12 January 2017. <http://www.austrac.gov.au/news/national-risk-assessment-not-profit-sector>

⁵⁴² National risk assessment on the not-for-profit sector, Australian Transaction Reports and Analysis Centre (Sydney) 17 November 2016. Access Date: 12 January 2017. <http://www.austrac.gov.au/news/national-risk-assessment-not-profit-sector>

⁵⁴³ AUSTRAC collaborates on risks in super sector, Australian Transaction Reports and Analysis Centre (Sydney) 22 November 2016. Access Date: 10 January 2017. <http://www.austrac.gov.au/media/media-releases/austrac-collaborates-risks-super-sector>

⁵⁴⁴ AUSTRAC collaborates on risks in super sector, Australian Transaction Reports and Analysis Centre (Sydney) 22 November 2016. Access Date: 10 January 2017. <http://www.austrac.gov.au/media/media-releases/austrac-collaborates-risks-super-sector>

⁵⁴⁵ Extending financial intelligence exchange in the Middle East, Minister for Justice (Canberra) 24 November 2016. Access Date: 10 January 2017.

<https://www.ministerjustice.gov.au/Mediareleases/Pages/2016/FourthQuarter/Extending-financial-intelligence-exchange-in-the-Middle-East.aspx>

⁵⁴⁶ New fact sheets for pubs and clubs on money laundering, Australian Transaction Reports and Analysis Centre (Sydney) 15 December 2016. Access Date: 10 January 2017. <http://www.austrac.gov.au/media/media-releases/new-fact-sheets-pubs-and-clubs-money-laundering>

⁵⁴⁷ AUSTRAC to lead five nation coalition on ML/TF risks, Australian Transaction Reports and Analysis Centre (Sydney) 15 December 2016. Access Date: 10 January 2017. <http://www.austrac.gov.au/media/media-releases/austrac-lead-five-nation-coalition-mltf-risks>

⁵⁴⁸ AUSTRAC to lead five nation coalition on ML/TF risks, Australian Transaction Reports and Analysis Centre (Sydney) 15 December 2016. Access Date: 10 January 2017. <http://www.austrac.gov.au/media/media-releases/austrac-lead-five-nation-coalition-mltf-risks>

⁵⁴⁹ ATO Publishes Corporate Transparency Report, Global Tax News (Hong Kong) 15 December 2016. Access Date: 10 January 2017. http://www.tax-news.com/news/ATO_Publishes_Corporate_Transparency_Report___72992.html

⁵⁵⁰ ATO Publishes Corporate Transparency Report, Global Tax News (Hong Kong) 15 December 2016. Access Date: 10 January 2017. http://www.tax-news.com/news/ATO_Publishes_Corporate_Transparency_Report___72992.html

Jordan added that the ATO engages with the top 100 public and foreign companies to keep a “watchful eye” on these large players.⁵⁵¹

On 20 December 2016 Australia opened a consultation paper to the public for comments regarding its review of tax and corporate whistleblower protections. Submissions closed on 10 February 2017.⁵⁵²

On 21 December 2016, the Australian Securities and Investments Commissions (ASIC) accepted enforceable undertakings, alternatives to civil or administrative action, from the National Australia Bank Limited (NAB) and the Commonwealth Bank of Australia.⁵⁵³ The undertakings concern the banks’ wholesale spot foreign exchange businesses.⁵⁵⁴ ASIC is committed to ensuring that these financial institutions establish changes to the existing systems so that all participants act “with integrity and fairness.”⁵⁵⁵

On 13 February 2017 began a public consultation on the “details, scope and implementation of a beneficial ownership register for companies.” This being done with the overall goal to increase transparency. The submission deadline is 13 March 2017.⁵⁵⁶

Australia has taken substantial actions to improve public and private sector transparency and integrity. Thus, Australia receives a score of +1.

Analyst: Keshini Mahesan

Brazil: +1

Brazil has fully complied with its commitment to improve public and private sector transparency and integrity.

On 6 September 2016, the Brazilian Senate approved Provisional Decree 727, which established the Investment Partnership Program (PPI).⁵⁵⁷ The program ensures public infrastructure projects

⁵⁵¹ ATO Publishes Corporate Transparency Report, Global Tax News (Hong Kong) 15 December 2016. Access Date: 10 January 2017. http://www.tax-news.com/news/ATO_Publishes_Corporate_Transparency_Report____72992.html

⁵⁵² Review of Tax and Corporate Whistleblower Protections in Australia, 20 December 2016, Australian Government Treasury. Date of Access: 31 March 2017.

<http://www.treasury.gov.au/ConsultationsandReviews/Consultations/2016/Review-of-whistleblower-protections>

⁵⁵³ 15-455MR ASIC accepts enforceable undertaking from NAB and CBA to address inadequacies within their wholesale spot FX businesses, Australian Securities & Investments Commission (Sydney) 21 December 2016. Access Date: 10 January 2017. <http://www.asic.gov.au/about-asic/media-centre/find-a-media-release/2016-releases/16-455mr-asic-accepts-enforceable-undertaking-from-nab-and-cba-to-address-inadequacies-within-their-wholesale-spot-fx-businesses/>

⁵⁵⁴ 15-455MR ASIC accepts enforceable undertaking from NAB and CBA to address inadequacies within their wholesale spot FX businesses, Australian Securities & Investments Commission (Sydney) 21 December 2016. Access Date: 10 January 2017. <http://www.asic.gov.au/about-asic/media-centre/find-a-media-release/2016-releases/16-455mr-asic-accepts-enforceable-undertaking-from-nab-and-cba-to-address-inadequacies-within-their-wholesale-spot-fx-businesses/>

⁵⁵⁵ 15-455MR ASIC accepts enforceable undertaking from NAB and CBA to address inadequacies within their wholesale spot FX businesses, Australian Securities & Investments Commission (Sydney) 21 December 2016. Access Date: 10 January 2017. <http://www.asic.gov.au/about-asic/media-centre/find-a-media-release/2016-releases/16-455mr-asic-accepts-enforceable-undertaking-from-nab-and-cba-to-address-inadequacies-within-their-wholesale-spot-fx-businesses/>

⁵⁵⁶ Increasing transparency of the beneficial ownership of companies, 13 February 2017, Australian Government Treasury. Date of Access: 31 March 2017.

<http://www.treasury.gov.au/ConsultationsandReviews/Consultations/2017/Beneficial-ownership-of-companies>

⁵⁵⁷ Senate approves Investment Partnership Programme, The Press Office of the Presidency of the Republic (Brasília) 8 September 2016. Access Date: 6 November 2016. <http://www.brazilgovnews.gov.br/news/2016/09/senate-approves-investment-partnership-programme>

outsourced to the private sector are carried out with full review and consultation from the federal government.⁵⁵⁸

On 13 September 2016, President Temer announced the Projeto Crescer (Grow Project), an offshoot of PPI that is intended to strengthen the legal certainty, regulations, and streamlining of public and private sector partnerships. Existing regulatory agencies will play a major role in project oversight and approval.⁵⁵⁹

On 19 September 2016, President Temer announced to a group of Japanese and Brazilian businesspeople in Tokyo that his government had approved the State Company Responsibility Act, which establishes technical regulations and oversight mechanisms for the management of state-owned enterprises.⁵⁶⁰

On 28 September 2016, Brazilian federal officials designated the Ministry of Justice and Citizenship (MJC) as the central authority for international legal cooperation within the Community of Portuguese Language Countries (CPLP) under Decree No. 8861.⁵⁶¹ The department will oversee dealings within this collection of countries and investigate any criminal activity that goes against international law through its Department of Asset Recovery and International Legal Cooperation.⁵⁶² It will act as a further regulatory agency across the region and promote transparency in all domestic and international dealings.⁵⁶³

On 5 October 2016, President Temer announced a set of policies designed to foster growth for micro and small enterprises (MSEs).⁵⁶⁴ The federal government announced R30 billion in credits to MSEs

⁵⁵⁸ Senate approves Investment Partnership Programme, The Press Office of the Presidency of the Republic (Brasília) 8 September 2016. Access Date: 6 November 2016. <http://www.brazilgovnews.gov.br/news/2016/09/senate-approves-investment-partnership-programme>

⁵⁵⁹ Grow Project intends on driving investment, The Press Office of the Presidency of the Republic (Brasília) 13 October 2016. Access Date: 6 November 2016. <http://www.brazilgovnews.gov.br/news/2016/09/grow-project-intends-on-driving-investment>

⁵⁶⁰ Temer presents core pillars of the new government to Japanese businesspeople, The Press Office of the Presidency of the Republic (Brasília) 19 October 2016. Access Date: 6 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/temer-presents-core-pillars-of-the-new-government-to-japanese-businesspeople>

⁵⁶¹ Ministry of Justice to be the central authority for international cooperation in the CPLP, The Press Office of the Presidency of the Republic (Brasília) 4 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/ministry-of-justice-to-be-the-central-authority-for-international-cooperation-in-the-cplp>

⁵⁶² Ministry of Justice to be the central authority for international cooperation in the CPLP, The Press Office of the Presidency of the Republic (Brasília) 4 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/ministry-of-justice-to-be-the-central-authority-for-international-cooperation-in-the-cplp>

⁵⁶³ Ministry of Justice to be the central authority for international cooperation in the CPLP, The Press Office of the Presidency of the Republic (Brasília) 4 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/ministry-of-justice-to-be-the-central-authority-for-international-cooperation-in-the-cplp>

⁵⁶⁴ Government offers R30 billion in credit for micro and small enterprises, The Press Office of the Presidency of the Republic (Brasília) 5 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/government-offers-r-30-billion-in-credit-for-micro-and-small-enterprises>

and proposed the Simple Exports project which will reduce the bureaucracies of MSEs so they are able to trade internationally under the guidance and supervision of a Logistics Operator.⁵⁶⁵

On 6 October 2016, the Proposal for Constitutional Amendment (PEC) no. 241/2016 was approved by a special committee of the Chamber of Deputies.⁵⁶⁶ The amendment proposes limiting primary expenditures by the Federal Government to the previous year's expenses adjusted for inflation.⁵⁶⁷ The goal of the amendment, according to the government, is to reign in government spending and ensure it is routed to projects that benefit public programs and projects that will boost employment.⁵⁶⁸ The amendment passed a second round of voting in the Chamber of Deputies with a vote of 356 to 116 on 25 October 2016.⁵⁶⁹

On 13 October 2016, Minister of Industry, Foreign Trade and Services Marcos Pereira attended the Brazil, Russia, India, China, South Africa (BRICS) Sixth Meeting of Trade Ministers in New Delhi, India.⁵⁷⁰ He signed a set of instruments that will open barriers to trade, limit the size of bureaucracies, and facilitate the growth of small and medium size enterprises.⁵⁷¹ Further, Brazil established the Special Secretariat for Small and Medium Enterprises to facilitate these instruments and private sector growth in general.⁵⁷²

From 15-16 October 2016, Brazil took part in the Eighth annual BRICS Summit in Goa, India. The summit put forward a commitment to strengthen cooperation against corruption, specifically through the BRICS Anti-Corruption Working Group.⁵⁷³

On 21 November 2016, President Michel Temer set up the Social and Economic Development Council (CDES).⁵⁷⁴ The CDES is a group made up of civil servants and civil society representatives

⁵⁶⁵ Government offers R30 billion in credit for micro and small enterprises, The Press Office of the Presidency of the Republic (Brasília) 5 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/government-offers-r-30-billion-in-credit-for-micro-and-small-enterprises>

⁵⁶⁶ Chamber of Deputies Special Committee approves spending cap bill, The Press Office of the Presidency of the Republic (Brasília) 7 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/chamber-of-deputies-special-committee-approves-public-spending-cap-bill>

⁵⁶⁷ Chamber of Deputies Special Committee approves spending cap bill, The Press Office of the Presidency of the Republic (Brasília) 7 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/chamber-of-deputies-special-committee-approves-public-spending-cap-bill>

⁵⁶⁸ Chamber of Deputies Special Committee approves spending cap bill, The Press Office of the Presidency of the Republic (Brasília) 7 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/chamber-of-deputies-special-committee-approves-public-spending-cap-bill>

⁵⁶⁹ For Temer, PEC 241 strengthens responsibility with public accounts, The Press Office of the Presidency of the Republic (Brasília) 25 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/for-temer-pec-241-strengthens-responsibility-with-public-accounts>

⁵⁷⁰ Brazil signs trade agreements with BRICS countries, The Press Office of the Presidency of the Republic (Brasília) 13 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/brazil-signs-trade-agreements-with-brics-countries>

⁵⁷¹ Brazil signs trade agreements with BRICS countries, The Press Office of the Presidency of the Republic (Brasília) 13 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/brazil-signs-trade-agreements-with-brics-countries>

⁵⁷² Brazil signs trade agreements with BRICS countries, The Press Office of the Presidency of the Republic (Brasília) 13 October 2016. Access Date: 5 November 2016. <http://www.brazilgovnews.gov.br/news/2016/10/brazil-signs-trade-agreements-with-brics-countries>

⁵⁷³ 8th BRICS Summit Goa Declaration, BRICS (Goa) 16 October 2016. Access Date: 5 November 2016. <http://brics2016.gov.in/upload/files/document/58038a52e09d4GoaDeclarationAdopted.pdf>

created to provide direct advice to the President on public policy and measures that encourage development.⁵⁷⁵ The council is meant to bring members of the society into public policy making and bridge the gap between citizens and the government.⁵⁷⁶

On 27 November 2016, President Temer drafted an agreement with the President of the Senate, Renan Calheiros, and the President of the Chamber of Deputies, Rodrigo Maia, to prevent Congress from accepting to review any bill seeking amnesty for electoral slush fund practices.⁵⁷⁷ The leaders attempted to ensure no bill seeking amnesty for electoral crimes and corrupt practices would be considered for passing.⁵⁷⁸

On 30 November 2016, the House of Deputies voted to pass an anti-corruption bill that would help shield lawmakers from prosecution and weaken the authority of public prosecutors.⁵⁷⁹ The bill passed with a vote of 450-1 despite protests from citizens and prosecutors.⁵⁸⁰ Days earlier, the President and the leaders of both the Senate and House of Representatives agreed to halt any bill that would shield lawmakers from corruption investigations.⁵⁸¹ The President reiterated this position, but agreed only to consider vetoing the bill once reviewing it if it passes both house of Congress.⁵⁸²

On 03 December 2016, Transparency International awarded federal prosecutors of the Carwash Task Force the 2016 Anti-Corruption Award.⁵⁸³ This task force has been investigating one of the world's largest corruption scandals, the Petrobras case.⁵⁸⁴ To date, they have gotten 240 criminal charges and

⁵⁷⁴ President Michel Temer sets up new council, The Press Office of the Presidency of the Republic (Brasilia) 21 November 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/11/president-michel-temer-sets-up-new-council>

⁵⁷⁵ President Michel Temer sets up new council, The Press Office of the Presidency of the Republic (Brasilia) 21 November 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/11/president-michel-temer-sets-up-new-council>

⁵⁷⁶ President Michel Temer sets up new council, The Press Office of the Presidency of the Republic (Brasilia) 21 November 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/11/president-michel-temer-sets-up-new-council>

⁵⁷⁷ President Temer vows to veto any amnesty to corrupt practices, The Press Office of the Presidency of the Republic (Brasilia) 27 November 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/11/president-temer-vows-to-veto-any-amnesty-to-corrupt-practices>

⁵⁷⁸ President Temer vows to veto any amnesty to corrupt practices, The Press Office of the Presidency of the Republic (Brasilia) 27 November 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/11/president-temer-vows-to-veto-any-amnesty-to-corrupt-practices>

⁵⁷⁹ Brazil prosecutors blast lawmakers for gutting corruption bill, Reuters (Brasilia) 30 November 2016. Access Date: 3 January 2017. <http://www.reuters.com/article/us-brazil-politics-idUSKBN13P2VP?il=0>

⁵⁸⁰ Brazil prosecutors blast lawmakers for gutting corruption bill, Reuters (Brasilia) 30 November 2016. Access Date: 3 January 2017. <http://www.reuters.com/article/us-brazil-politics-idUSKBN13P2VP?il=0>

⁵⁸¹ Brazil prosecutors blast lawmakers for gutting corruption bill, Reuters (Brasilia) 30 November 2016. Access Date: 3 January 2017. <http://www.reuters.com/article/us-brazil-politics-idUSKBN13P2VP?il=0>

⁵⁸² Brazil prosecutors blast lawmakers for gutting corruption bill, Reuters (Brasilia) 30 November 2016. Access Date: 3 January 2017. <http://www.reuters.com/article/us-brazil-politics-idUSKBN13P2VP?il=0>

⁵⁸³ Brazil's Carwash Task Force wins Transparency International Anti-Corruption Award, Transparency International (Panama City) 03 December 2016. Access Date: 3 January 2017. http://www.transparency.org/news/pressrelease/brazils_carwash_task_force_wins_transparency_international_anti_corruption

⁵⁸⁴ Brazil's Carwash Task Force wins Transparency International Anti-Corruption Award, Transparency International (Panama City) 3 December 2016. Access Date: 3 January 2017. http://www.transparency.org/news/pressrelease/brazils_carwash_task_force_wins_transparency_international_anti_corruption

118 convictions totalling 1,256 years in prison against high-level politicians and businesspeople previously considered untouchable.⁵⁸⁵

On 13 December 2016, the Senate announced the creation of a Joint Committee on Debureaucratization to reduce bureaucracy in federal public administration.⁵⁸⁶ The Committee is made up of seven senators and seven deputies that will create a report on current administration and suggest improvements to the current legal system, specifically proposals on supervision of departments and control.⁵⁸⁷

On 15 December 2016, the National Congress promulgated Constitutional Amendment 95, establishing a new fiscal regime with an enforced ceiling on public spending by all three branches of government (Executive, Judiciary, and Legislative).⁵⁸⁸ Submitted by the President's cabinet, the amendment passed in two rounds of voting in both houses of Congress.⁵⁸⁹ The amendment will control public spending, create more public accountability, and ensure growth for the country in the future.⁵⁹⁰

On 15 December 2016, Senate President Calheiros signed the second amendment to the technical agreement between the Senate, Chamber of Deputies, and the Court of Audit of the Union (TCU).⁵⁹¹ The partnership aims to better allow for exchanging information and facilitate the legislative process.⁵⁹² Moreover, it allows citizens to participate in the legislative process and allows public ombudsmen to obtain data on public policies, thus improving the transparency of all three institutions.⁵⁹³

⁵⁸⁵ Brazil's Carwash Task Force wins Transparency International Anti-Corruption Award, Transparency International (Panama City) 3 December 2016. Access Date: 3 January 2017. http://www.transparency.org/news/pressrelease/brazils_carwash_task_force_wins_transparency_international_anti_corruption

⁵⁸⁶ Joint Commission on Debureaucratization was set up on Tuesday, Federal Senate (Brasilia) 13 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/13/comissao-mista-da-desburocratizacao-foi-instalada-nesta-terca-feira>

⁵⁸⁷ Joint Commission on Debureaucratization was set up on Tuesday, Federal Senate (Brasilia) 13 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/13/comissao-mista-da-desburocratizacao-foi-instalada-nesta-terca-feira>

⁵⁸⁸ National Congress promulgates spending cap amendment, The Press Office of the Presidency of the Republic (Brasília) 15 December 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/12/national-congress-promulgates-spending-cap-amendment>

⁵⁸⁹ National Congress promulgates spending cap amendment, The Press Office of the Presidency of the Republic (Brasília) 15 December 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/12/national-congress-promulgates-spending-cap-amendment>

⁵⁹⁰ National Congress promulgates spending cap amendment, The Press Office of the Presidency of the Republic (Brasília) 15 December 2016. Access Date: 3 January 2017. <http://www.brazilgovnews.gov.br/news/2016/12/national-congress-promulgates-spending-cap-amendment>

⁵⁹¹ Senate, House and TCU sign cooperation agreement on public policies, Federal Senate (Brasilia) 15 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/15/senado-camara-e-tcu-assinam-termo-de-cooperacao-em-politicas-publicas>

⁵⁹² Senate, House and TCU sign cooperation agreement on public policies, Federal Senate (Brasilia) 15 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/15/senado-camara-e-tcu-assinam-termo-de-cooperacao-em-politicas-publicas>

⁵⁹³ Senate, House and TCU sign cooperation agreement on public policies, Federal Senate (Brasilia) 15 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/15/senado-camara-e-tcu-assinam-termo-de-cooperacao-em-politicas-publicas>

On 30 December 2016, the Senate Economic Affairs Committee announced it was analyzing a proposal to give the Central Bank administrative, economic, and financial autonomy.⁵⁹⁴ This move would give monetary policy more transparency and leave little intervention possibility by the federal government.⁵⁹⁵ The proposal is expected to be discussed in the wider Senate in 2017 for potential enactment into law.⁵⁹⁶

Brazil has taken substantial actions to improve public and private sector transparency and integrity. Thus, Brazil receives a score of +1.

Analyst: Alexander Fernandes

Canada: +1

Canada has fully complied with its commitment to improve public and private sector transparency and integrity.

On 7 September 2016, the Honourable Diane Lebovitch, Minister of National Revenue announced Canada's participation in the Joint International Taskforce on Shared Intelligence and Collaboration (JITSIC) network.⁵⁹⁷ Lebovitch stated Canada's participation was important "in order to identify and curb tax schemes and to bring those who choose to participate in such tactics to justice."⁵⁹⁸ Currently, the Canada Revenue Agency is conducting audits on over 750 taxpayers and investigating 20 criminal cases of tax evasion.⁵⁹⁹

On 16 September 2016, the National Energy Board (NEB) introduced updates to its website to improve public access to NEB's data and information.⁶⁰⁰ Changes are intended to provide easier access to current market information, NEB's roles and responsibilities, and current initiatives and activities.⁶⁰¹

⁵⁹⁴ Project that guarantees autonomy of the Central Bank is under analysis in the CAE, Federal Senate (Brasilia) 30 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/30/projeto-que-garante-autonomia-do-banco-central-esta-em-analise-na-cae>

⁵⁹⁵ Project that guarantees autonomy of the Central Bank is under analysis in the CAE, Federal Senate (Brasilia) 30 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/30/projeto-que-garante-autonomia-do-banco-central-esta-em-analise-na-cae>

⁵⁹⁶ Project that guarantees autonomy of the Central Bank is under analysis in the CAE, Federal Senate (Brasilia) 30 December 2016. Access Date: 3 January 2017. <http://www12.senado.leg.br/noticias/materias/2016/12/30/projeto-que-garante-autonomia-do-banco-central-esta-em-analise-na-cae>

⁵⁹⁷ Government of Canada works with International Partners to Crack Down on Offshore Tax Evasion, Canada Revenue Agency (Ottawa) 7 September 2016. Access Date: 4 November 2016. <http://news.gc.ca/web/article-en.do?nid=1121509&tp=1>

⁵⁹⁸ Government of Canada works with International Partners to Crack Down on Offshore Tax Evasion, Canada Revenue Agency (Ottawa) 7 September 2016. Access Date: 4 November 2016. <http://news.gc.ca/web/article-en.do?nid=1121509&tp=1>

⁵⁹⁹ Government of Canada works with International Partners to Crack Down on Offshore Tax Evasion, Canada Revenue Agency (Ottawa) 7 September 2016. Access Date: 4 November 2016. <http://news.gc.ca/web/article-en.do?nid=1121509&tp=1>

⁶⁰⁰ Transparency drives improvements to NEB website, National Energy Board (Calgary) 16 September 2016. Access Date: 4 November 2016. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=11&mthd=advSrch&crtr.dpt1D=&nid=1125399&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=6&crtr.aud1D=&crtr.mnthStrtVI=9&crtr.page=141&crtr.yrndVI=2016&crtr.dyndVI=13>

⁶⁰¹ Transparency drives improvements to NEB website, National Energy Board (Calgary) 16 September 2016. Access Date: 4 November 2016. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=11&mthd=advSrch&crtr.dpt1D=&nid=1125399&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=6&crtr.aud1D=&crtr.mnthStrtVI=9&crtr.page=141&crtr.yrndVI=2016&crtr.dyndVI=13>

From 21-24 September 2016, Chinese Premier Li Keqiang visited Canada to strengthen economic and trade ties with Canada.⁶⁰² In their discussions, Prime Minister Justin Trudeau showed willingness to deepen cooperation with China to fight corruption. The two parties have yet to sign any extradition treaty.⁶⁰³

On 28 September 2016, Minister of Innovation, Science and Economic Development, Navdeep Bains, proposed a bill labelled An Act to amend the Canada Business Corporations Act, the Canada Cooperatives Act, the Canada Not-for-profit Corporations Act and the Competition Act.⁶⁰⁴ The bill will introduce amendments to improve business framework laws and increase “corporate transparency and business certainty while reducing regulatory burden.”⁶⁰⁵

On 29 September 2016, the Competition Bureau provided the Canadian legal and business communities with a consent agreement template.⁶⁰⁶ The template is intended to support transparency and predictability in the manner the Competition Bureau enforces the Competition Act.⁶⁰⁷

On 3 October 2016, the Honourable Diane LeBouthillier announced the launch of national consultations in order to support small and medium sized Canadian businesses, while ensuring tax fairness for the middle class.⁶⁰⁸

On 20 October 2016, the Minister of Justice and Attorney General of Canada, Jody Wilson-Raybould, put forth new measures to “increase the openness, transparency, accountability, and diversity of Canada’s judiciary.”⁶⁰⁹ Reforms include reorganized committees, revised committee

⁶⁰² Chinese Premier Li Keqiang to visit Canada next week for talks, The Globe and Mail (Toronto) 14 September 2016. Access Date: 4 November 2016. <http://www.theglobeandmail.com/news/politics/chinese-premier-li-keqiang-to-visit-canada-next-week-for-talks/article31875825/>

⁶⁰³ China, Canada explore free trade agreement, Global Times (Beijing) 24 September 2016. Access Date: 6 November 2016. <http://www.globaltimes.cn/content/1008017.shtml>

⁶⁰⁴ The Government of Canada introduces a bill to promote corporate transparency and diversity, Innovation, Science and Economic Development Canada (Ottawa) 28 September 2016. Access Date: 6 November 2016. <http://news.gc.ca/web/article-en.do?nid=1130679>

⁶⁰⁵ The Government of Canada introduces a bill to promote corporate transparency and diversity, Innovation, Sciences and Economic Development (Ottawa) 28 September 2016. Access Date: 6 November 2016. <http://news.gc.ca/web/article-en.do?nid=1130679>

⁶⁰⁶ Consent agreement template provides transparency and predictability for merger remedy negotiations, Competition Bureau (Ottawa) 29 September 2016. Access Date: 6 November 2016. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVI=11&mthd=advSrch&ctr.dpt1D=&nid=1131039&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVI=2016&ctr.kw=&ctr.dyStrtVI=6&ctr.aud1D=&ctr.mnthStrtVI=9&ctr.page=114&ctr.yrndVI=2016&ctr.dyndVI=13>

⁶⁰⁷ Consent agreement template provides transparency and predictability for merger remedy negotiations, Competition Bureau (Ottawa) 29 September 2016. Access Date: 6 November 2016. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVI=11&mthd=advSrch&ctr.dpt1D=&nid=1131039&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVI=2016&ctr.kw=&ctr.dyStrtVI=6&ctr.aud1D=&ctr.mnthStrtVI=9&ctr.page=114&ctr.yrndVI=2016&ctr.dyndVI=13>

⁶⁰⁸ Minister LeBouthillier announces the launch of national consultations to better understand the needs of Canada small and medium businesses, Canada Revenue Agency (Ottawa) 3 October 2016. Access Date: 12 November 2016. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVI=11&mthd=advSrch&ctr.dpt1D=&nid=1132019&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVI=2016&ctr.kw=&ctr.dyStrtVI=6&ctr.aud1D=&ctr.mnthStrtVI=9&ctr.page=107&ctr.yrndVI=2016&ctr.dyndVI=13>

⁶⁰⁹ Government of Canada announces judicial appointments and reforms the appointments process to increase openness and transparency, Department of Justice Canada (Ottawa) 20 October 2016. Access Date: 6 November 2016. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVI=11&mthd=advSrch&ctr.dpt1D=&nid=1140619&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVI=2016&ctr.kw=&ctr.dyStrtVI=6&ctr.aud1D=&ctr.mnthStrtVI=9&ctr.page=59&ctr.yrndVI=2016&ctr.dyndVI=13>

mandates, and an open selection process for the three members of each committee who represent the general public.⁶¹⁰

On 17 November 2016, the Minister of Finance Bill Morneau presented the 2016 Annual Report of the Financial Transactions and Reports Analysis Centre of Canada (FINTRAC), Results in the Fight Against Money Laundering and Terrorism Financing, detailing the financial intelligence unit's work from 2015 to 2016.⁶¹¹ The unit assisted in hundreds of money laundering investigations, provided 1,655 disclosures of actionable financial intelligence, and established public-private partnerships with Canadian banks to combat trafficking and laundering.⁶¹²

On 21 November 2016, the Canadian Radio-television and Telecommunications Commission (CRTC) set out appropriate practices for television service providers to support Canadians in finding the best services, and for providers to "keep their offers simple and transparent."⁶¹³

On 24 November 2016, the Government of Canada introduced Bill C-33, An Act to amend the Canada Elections Act, with aims to increase voter participation, and improve the efficiency and integrity of Canadian elections.⁶¹⁴ Bill C-33 contains seven reforms on the Voter Information Card (VIC), vouching, the Chief Electoral Officer's communication mandate, the creation of a national register of future electors, the improvement of the National Register of Electors, and the relocation of the Commissioner of Canada Elections.⁶¹⁵

On 27 November 2016, it was revealed that Canada Revenue Agency (CRA) will be reviewing all electronic funds transfers of more than CAD10,000 going from Canada to four foreign

⁶¹⁰ Government of Canada announces judicial appointments and reforms the appointments process to increase openness and transparency, Department of Justice Canada (Ottawa) 20 October 2016. Access Date: 6 November 2016. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=11&mthd=advSrch&crtr.dpt1D=&nid=1140619&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=6&crtr.aud1D=&crtr.mnthStrtVI=9&crtr.page=59&crtr.yrndVI=2016&crtr.dyndVI=13>

⁶¹¹ Results in the Fight Against Money Laundering and Terrorism Financing, Financial Transactions and Reports Analysis Centre of Canada (Ottawa) 17 November 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1155589&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=98&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶¹² Results in the Fight Against Money Laundering and Terrorism Financing, Financial Transactions and Reports Analysis Centre of Canada (Ottawa) 17 November 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1155589&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=98&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶¹³ The CRTC wants Canadians to take back control of their TV services, Canadian Radio-television and Telecommunications Commission (Ottawa-Gatineau) 21 November 2016. Access Date 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1157999&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=89&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶¹⁴ Bill C-33: 7 Reforms to Increase Voter Participation and Electoral Integrity, Government of Canada (Ottawa) 24 November 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1159859&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=81&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶¹⁵ Bill C-33: 7 Reforms to Increase Voter Participation and Electoral Integrity, Government of Canada (Ottawa) 24 November 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1159859&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=81&crtr.yrndVI=2017&crtr.dyndVI=11>

jurisdictions.⁶¹⁶ The CRA also plans to review about CAD100,000 electronic funds transfers in 2017 as part of its investigation of offshore tax havens.⁶¹⁷

On 9 December 2016, Canada led the Open Government Partnership Global Summit which aims to support the global movement of improving transparency and open government.⁶¹⁸ President of the Treasury Board, Scott Brison, stated that Canada will adopt the International Open Data Charter and will partner with other governments to make “government data open by default.”⁶¹⁹

On 9 December 2016, the Minister of International Development and La Francophonie, Marie-Claude Bibeau, announced that CAD13.6 million will be invested over the next four years to combat corruption in 12 countries in Africa and the Americas.⁶²⁰ Canada will work with communities, businesses, public institutions, and civil society organisations to improve anti-corruption policies, educate citizens about corruption, improve business standards and ethical practices, and assist civil society organisations in identifying corruption issues.⁶²¹

On 13 December 2016, the Minister of International Trade, Chrystia Freeland, announced that Canada ratified the United Nations Convention on Transparency in Treaty-Based Investor-State Arbitration.⁶²² Through the ratification of the convention, Canada will update its transparency provisions regarding foreign investment, and protection and free trade agreements.⁶²³

⁶¹⁶ CRA launches new strategy to crack down on tax havens, The Globe and Mail (Toronto) 27 November 2016. Access Date: 12 January 2017. <http://www.theglobeandmail.com/news/politics/cra-launches-new-strategy-to-crack-down-on-tax-havens/article33065307/>

⁶¹⁷ CRA launches new strategy to crack down on tax havens, The Globe and Mail (Toronto) 27 November 2016. Access Date: 12 January 2017. <http://www.theglobeandmail.com/news/politics/cra-launches-new-strategy-to-crack-down-on-tax-havens/article33065307/>

⁶¹⁸ Government of Canada shows global leadership on open government, Treasury Board of Canada Secretariat (Ottawa) 9 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVl=1&mthd=advSrch&ctr.dpt1D=&nid=1167889&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVl=2016&ctr.kw=&ctr.dyStrtVl=14&ctr.aud1D=&ctr.mnthStrtVl=11&ctr.page=43&ctr.yrndVl=2017&ctr.dyndVl=11>

⁶¹⁹ Government of Canada shows global leadership on open government, Treasury Board of Canada Secretariat (Ottawa) 9 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVl=1&mthd=advSrch&ctr.dpt1D=&nid=1167889&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVl=2016&ctr.kw=&ctr.dyStrtVl=14&ctr.aud1D=&ctr.mnthStrtVl=11&ctr.page=43&ctr.yrndVl=2017&ctr.dyndVl=11>

⁶²⁰ Canada announces support to fight corruption, Global Affairs Canada (Ottawa) 9 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVl=1&mthd=advSrch&ctr.dpt1D=&nid=1167839&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVl=2016&ctr.kw=&ctr.dyStrtVl=14&ctr.aud1D=&ctr.mnthStrtVl=11&ctr.page=43&ctr.yrndVl=2017&ctr.dyndVl=11>

⁶²¹ Canada announces support to fight corruption, Global Affairs Canada (Ottawa) 9 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVl=1&mthd=advSrch&ctr.dpt1D=&nid=1167839&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVl=2016&ctr.kw=&ctr.dyStrtVl=14&ctr.aud1D=&ctr.mnthStrtVl=11&ctr.page=43&ctr.yrndVl=2017&ctr.dyndVl=11>

⁶²² Canada ratifies United Nations convention on transparency in investor-state arbitration, Global Affairs Canada (Ottawa) 13 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVl=1&mthd=advSrch&ctr.dpt1D=&nid=1168779&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVl=2016&ctr.kw=&ctr.dyStrtVl=14&ctr.aud1D=&ctr.mnthStrtVl=11&ctr.page=38&ctr.yrndVl=2017&ctr.dyndVl=11>

⁶²³ Canada ratifies United Nations convention on transparency in investor-state arbitration, Global Affairs Canada (Ottawa) 13 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?ctr.sj1D=&ctr.mnthndVl=1&mthd=advSrch&ctr.dpt1D=&nid=1168779&ctr.lc1D=&ctr.tp1D=&ctr.yrStrtVl=2016&ctr.kw=&ctr.dyStrtVl=14&ctr.aud1D=&ctr.mnthStrtVl=11&ctr.page=38&ctr.yrndVl=2017&ctr.dyndVl=11>

On 14 December 2016, Minister Navdeep Bains delivered a message at an event focused on the advancement of women in the financial sector.⁶²⁴ Minister Bains encouraged the need for publicly-traded companies to be more inclusive in their recruitment, as outlined in the proposed Bill C-25.⁶²⁵ He concluded his message by calling on the corporate sector to promote diversity and inclusion in their hiring practices.⁶²⁶

On 14 December 2016, FINTRAC met with money services businesses as part of its collaboration with the International Supervisors Forum (ISF), to discuss money services businesses' role in recognizing and preventing financial crimes such as money laundering.⁶²⁷

From 16 to 17 December 2016, Canada and the European Union co-hosted a meeting in Geneva to promote transparency in international trade.⁶²⁸ The first initiative of its kind, the meeting involved discussions on the establishment of a multilateral investment court.⁶²⁹

On 10 January 2017, the Government of Canada announced that it will invest an additional CAD1.8 million in the pork research cluster in response to consumer concerns regarding the treatment of animals.⁶³⁰ The government aims to respond to the interests of consumers while increasing healthy competition in the Canadian pork industry.⁶³¹

Canada has taken substantial actions to improve both public and private sector transparency and integrity. Thus, Canada receives a score of +1.

Analyst: Keshini Mahesan

China: +1

China has fully complied with its commitment to improve public and private sector transparency and integrity.

⁶²⁴ Diversity and inclusion are good for corporate Canada's bottom line, Innovation, Science and Economic Development Canada (Ottawa) 14 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1169519&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=33&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶²⁵ Diversity and inclusion are good for corporate Canada's bottom line, Innovation, Science and Economic Development Canada (Ottawa) 14 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1169519&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=33&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶²⁶ Diversity and inclusion are good for corporate Canada's bottom line, Innovation, Science and Economic Development Canada (Ottawa) 14 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1169519&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=33&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶²⁷ News Release: FINTRAC meets with leading money services business, Financial Transactions Reports Analysis Centre of Canada (Ottawa) 14 December 2016. Access Date: 10 January 2017. <http://www.fintrac.gc.ca/new-neuf/nr/2016-12-14-eng.asp>

⁶²⁸ Canada, a leader in progressive dispute-resolution mechanisms for international trade, Global Affairs Canada (Ottawa) 14 December 2016. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?crtr.sj1D=&crtr.mnthndVI=1&mthd=advSrch&crtr.dpt1D=&nid=1169539&crtr.lc1D=&crtr.tp1D=&crtr.yrStrtVI=2016&crtr.kw=&crtr.dyStrtVI=14&crtr.aud1D=&crtr.mnthStrtVI=11&crtr.page=34&crtr.yrndVI=2017&crtr.dyndVI=11>

⁶²⁹ European Commission and Canadian Government Co-host Discussions on a Multilateral Investment Court, George Henry Young (Toronto) 16 December 2016. Access Date: 10 January 2017. <http://www.ghy.com/tradelines-news/european-commission-and-canadian-government-co-host-discussions-on-a-multil/>

⁶³⁰ Pork research cluster gets \$1.8M in new investment, Agriculture and Agri-Food Canada (Ottawa) 10 January 2017. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?mthd=tp&crtr.page=1&nid=1177609&crtr.tp1D=1>

⁶³¹ Pork research cluster gets \$1.8M in new investment, Agriculture and Agri-Food Canada (Ottawa) 10 January 2017. Access Date: 10 January 2017. <http://news.gc.ca/web/article-en.do?mthd=tp&crtr.page=1&nid=1177609&crtr.tp1D=1>

On 8 September 2016, the Central Commission for Discipline Inspection (CCDI) created an online platform for the public to report any suspicious activities and spending of public officials.⁶³² Following this development, the CCDI publicized corrupt public officials who misused public funds for private gain.⁶³³ Through the online reporting platform, the CCDI hoped to tighten its anti-corruption enforcement prior to Mid-Autumn Festival and the National Day, as gift-giving or abuse of public funds heightened during the holidays.⁶³⁴

On 23 September 2016, China established the G20 Research Centre on Anti-Corruption, Fugitive Repatriation and Asset Recovery, based in Beijing Normal University.⁶³⁵ The research centre will focus on studying the differences in countries' extradition and asset recovery laws, aiming to provide technical support for furthering international cooperation in these areas.⁶³⁶

From 15-16 October 2016, China took part in the Eighth annual Brazil, Russia, India, China, South Africa (BRICS) Summit in Goa, India. The summit put forward a commitment to strengthen cooperation against corruption, specifically through the BRICS Anti-Corruption Working Group.⁶³⁷

On 2 November 2016, the Sixth Plenary Session of the 18th Central Committee of the Communist Party of China (CPC) approved two disciplinary documents aimed to guide and supervise the political life of party members- "Norms of Political Life in the Party under Current Conditions" and the "Regulation on Intra-Party Supervision."⁶³⁸ The "Norms of Political Life" stresses that party members should consolidate their ideological beliefs, follow the Party's basic line, and safeguard the authority of CPC Central Committee.⁶³⁹ Furthermore, the "Norms" emphasizes the need for an increase of intra-party supervision to prevent abuse of authority by party members.⁶⁴⁰ The "Regulation on Intra-Party Supervision" aims to promote accountability and supervision of the Chinese Communist Party, such as encouraging party members to increase public transparency on party affairs.⁶⁴¹

⁶³² Various Cities Tightening Anti-Corruption Measures Prior to Mid-Autumn Festival: Cracking Down Gift-giving on Wechat, Zhongguo Xinwen Wang (Beijing) 14 September 2016. Access Date: 13 November 2016. <http://www.chinanews.com/gn/2016/09-14/8003409.shtml>

⁶³³ Various Cities Tightening Anti-corruption Measures Prior Mid-Autumn Festival: Cracking Down Gift-giving on Wechat, Zhongguo Xinwen Wang (Beijing) 14 September 2016. Access Date: 13 November 2016. <http://www.chinanews.com/gn/2016/09-14/8003409.shtml>

⁶³⁴ Various Cities Tightening Anti-corruption Measures Prior Mid-Autumn Festival: Cracking Down Gift-giving on Wechat, Zhongguo Xinwen Wang (Beijing) 14 September 2016. Access Date: 13 November 2016. <http://www.chinanews.com/gn/2016/09-14/8003409.shtml>

⁶³⁵ G20 Research Center on Anti-Corruption, Fugitive Repatriation and Asset Recovery: Providing Research Support for International Anti-Corruption Cooperation, Zhongguo Jijian Jiancha Bao (Beijing) 24 September 2016. Access Date: 13 November 2016. http://csr.mos.gov.cn/content/2016-09/24/content_40392.htm

⁶³⁶ G20 Research Center on Anti-Corruption, Fugitive Repatriation and Asset Recovery: Providing Research Support for International Anti-Corruption Cooperation, Zhongguo Jijian Jiancha Bao (Beijing) 24 September 2016. Access Date: 13 November 2016. http://csr.mos.gov.cn/content/2016-09/24/content_40392.htm

⁶³⁷ 8th BRICS Summit Goa Declaration, BRICS (Goa) 16 October 2016. Access Date: 5 November 2016. <http://brics2016.gov.in/upload/files/document/58038a52e09d4GoaDeclarationAdopted.pdf>

⁶³⁸ Backgrounder: Facts about Documents on Intra-Party Political Life, Supervision, Xinhuanet (Beijing) 3 November 2016. Access Date: 13 November 2016. http://news.xinhuanet.com/english/2016-11/03/c_135803934.htm

⁶³⁹ Norms of Political Life in the Party under Current Conditions, Xinhuanet (Beijing) 2 November 2016. Access Date: 13 November 2016. http://news.xinhuanet.com/politics/2016-11/02/c_1119838382_5.htm

⁶⁴⁰ Norms of Political Life in the Party under Current Conditions, Xinhuanet (Beijing) 2 November 2016. Access Date: 13 November 2016. http://news.xinhuanet.com/politics/2016-11/02/c_1119838382_5.htm

⁶⁴¹ Norms of Political Life in the Party under Current Conditions, Xinhuanet (Beijing) 2 November 2016. Access Date: 13 November 2016. http://news.xinhuanet.com/politics/2016-11/02/c_1119838382_5.htm

On 2 November 2016, the Central Commission for Discipline Inspection (CCDI) started a new round of nationwide anti-corruption inspections, allowing inspection teams to review the implementation of party disciplines and anti-corruption initiatives in judicial organs, government agencies, and state media organisations.⁶⁴² In addition, inspection teams will also conduct a second round of assessment on party officials in Beijing, Chongqing, Guangxi and Gansu. The Chief of CCDI, Wang Qishan, claimed that the new round of inspections will assess officials' political loyalty, accountability, and discipline.⁶⁴³

On 24 November 2016, the Beijing Second Intermediate People's Court began trial of the former Minister of State Administration of Work Safety Yang Dongliang for allegedly accepting bribes totalling to approximately RMB28 million from 2002 to 2015.⁶⁴⁴ During the trial, Yang pleaded guilty and expressed regret for his wrongdoings.⁶⁴⁵ The judge adjourned the trial for sentencing.⁶⁴⁶

On 30 November 2016, the CPC Central Committee of the Political Bureau Standing Committee, or Politburo Standing Committee (PSC), passed two new regulations to tighten the governance of state and party leaders--the "Regulation on Communist Party Working Institutions (Trial)," and the "Regulations on the Democratic Life Meeting of the Party Members and Leaders at the Country-Level and Above Party and State Organisations."⁶⁴⁷ The former regulation stipulates the institutional setup and leadership of the CPC organs; whereas, the latter urges high ranking CPC members to consolidate their ideological beliefs and encourages self-criticism.⁶⁴⁸ Both documents have expanded on the initial Eight-Point Guidelines, which was published in 2012 to improve the discipline of party members, by introducing more specific regulations, such as requiring officials to vacate their office upon retiring from the position and prohibiting officials from buying luxurious motor vehicles.⁶⁴⁹

On 3 January 2017, CCDI started airing a television series titled "Forging Steel Requires Strength in One's Body" making an example of convicted officials to deter corruption.⁶⁵⁰ The television series

⁶⁴² Top Graft-Buster Orders Stricter Party Discipline Supervision, Xinhuanet (Beijing) 2 November 2016. Access Date: 13 November 2016. http://news.xinhuanet.com/english/2016-11/02/c_135801298.htm

⁶⁴³ CCDI Started the 11th Round of Anti-Corruption Inspections in 19 Municipalities and Local Government Units , Zhongguo Jijian Jiancha Bao (Beijing) 10 November 2016. Access Date: 13 November 2016. http://csr.mos.gov.cn/content/2016-11/11/content_41986.htm

⁶⁴⁴ Court Started the Trial on the Alleged Corruption of the former Minister of State Administration of Work Safety Yang Dongliang , Tanwu an Yishen Kaiting, Zhongguo Jijian Jiancha Bao (Beijing) 25 November 2016. Access Date: 11 January 2017. http://csr.mos.gov.cn/content/2016-11/25/content_42519.htm

⁶⁴⁵ Court Started the Trial on the Alleged Corruption of the former Minister of State Administration of Work Safety Yang Dongliang , Tanwu an Yishen Kaiting, Zhongguo Jijian Jiancha Bao (Beijing) 25 November 2016. Access Date: 11 January 2017. http://csr.mos.gov.cn/content/2016-11/25/content_42519.htm

⁶⁴⁶ Court Started the Trial on the Alleged Corruption of the former Minister of State Administration of Work Safety Yang Dongliang , Tanwu an Yishen Kaiting, Zhongguo Jijian Jiancha Bao (Beijing) 25 November 2016. Access Date: 11 January 2017. http://csr.mos.gov.cn/content/2016-11/25/content_42519.htm

⁶⁴⁷ PSC Passed "Regulation on Communist Party Working Institutions" and "Regulations on the Democratic Life Meeting of the Party Members and Leaders at the Country-Level and Above Party and State Organizations", Xinhuanet (Beijing) 30 November 2016. Access Date: 7 January 2017. http://news.xinhuanet.com/politics/2016-11/30/c_1120025506.htm

⁶⁴⁸ PSC Passed "Regulation on Communist Party Working Institutions" and "Regulations on the Democratic Life Meeting of the Party Members and Leaders at the Country-Level and Above Party and State Organizations", Xinhuanet (Beijing) 30 November 2016. Access Date: 7 January 2017. http://news.xinhuanet.com/politics/2016-11/30/c_1120025506.htm

⁶⁴⁹ Strict Discipline Provides Cohesion for CPC Governance, China Central Television (Beijing) 5 January 2017. Access Date: 7 January 2017. <http://english.cctv.com/2017/01/05/ARTIsrOKhtXEgwrKGiiSO1K1170105.shtml>

⁶⁵⁰ China's Graft Watchdog Turns Camera on Itself in TV series, Reuters (London) 4 January 2017. Access Date: 7 January 2017. <http://uk.reuters.com/article/uk-china-corruption-television-idUKKBN1400B6?il=0>

featured a confession of a former CCDI official Zhu Mingguo, who exploited the Party's oversight system for personal financial gain.⁶⁵¹

China has taken substantial actions to improve public and private sector transparency and integrity. Thus, China receives a score of +1.

Analyst: Hei Tung Isaac L

France: 0

France has partially complied with its commitment to improve public and private sector transparency and integrity.

On 8 November 2016, French lawmakers adopted the new Law on Transparency, the Fight against Corruption and Modernization of Economic Life.⁶⁵² Allowing for a Deferred Prosecution Agreement (DPA), French companies for the first time will be able to strike negotiated financial settlements with magistrates.⁶⁵³ To alleviate concerns that these changes would lead to lack of public scrutiny, the law incorporates provisions to ensure a judge checks the legality of the settlement in a public hearing.⁶⁵⁴

The new law will create a dedicated Anti-Corruption Agency that is in charge of ensuring French companies implement compliance programs that are becoming mandatory under the law.⁶⁵⁵ The legislation is expected to change the compliance landscape for French companies as, if not complied with, this approach will make the individual head of the company liable for a fine of EUR200,000.⁶⁵⁶ Another provision of the law is the introduction of a whistleblower program with anti-retaliation protection.⁶⁵⁷ Whistleblowers will get a guarantee that their identities will be kept secret and there will be no financial compensation for reporting wrongdoing.⁶⁵⁸ It also includes the following:

- an obligation to actively manage corruption risks for companies with at least 500 employees
- a penalty of mandatory compliance for companies convicted of acts of corruption

⁶⁵¹ China's Graft Watchdog Turns Camera on Itself in TV series, Reuters (London) 4 January 2017. Access Date: 7 January 2017. <http://uk.reuters.com/article/uk-china-corruption-television-idUKKBN1400B6?il=0>

⁶⁵² New French Anti-Corruption Law: France Strengthens its Legislation to Combat Bribery and Corruption and Adopts U.S.-Style Anti-Corruption System, 15 November 2016, Global Compliance News. Date of Access: 01 March 2017. <https://globalcompliancenews.com/new-french-anti-corruption-law-20161123/>

⁶⁵³ France adopts US-style anti-corruption settlement system, Reuters (London) 8 November 2016. Access Date: 9 November 2016. <http://www.reuters.com/article/us-france-corruption-idUSKBN1332G1?feedType=RSS&feedName=worldNews>

⁶⁵⁴ France adopts US-style anti-corruption settlement system, Reuters (London) 8 November 2016. Access Date: 9 November 2016. <http://www.reuters.com/article/us-france-corruption-idUSKBN1332G1?feedType=RSS&feedName=worldNews>

⁶⁵⁵ The morning risk report: France heralds new anti-corruption law, The Wall Street Journal (New York City) 8 November 2016. Access Date: 9 November 2016. <http://blogs.wsj.com/riskandcompliance/2016/11/08/the-morning-risk-report-france-heralds-new-anti-corruption-law/>

⁶⁵⁶ New French Anti-Corruption Law: France Strengthens its Legislation to Combat Bribery and Corruption and Adopts US-Style Anti-Corruption System, Global Compliance News (Washington, D.C.) 15 November 2016. Access Date: 10 January 2017. <https://globalcompliancenews.com/new-french-anti-corruption-law-20161123/>

⁶⁵⁷ New French Anti-Corruption Law: France Strengthens its Legislation to Combat Bribery and Corruption and Adopts US-Style Anti-Corruption System, Global Compliance News (Washington, D.C.) 15 November 2016. Access Date: 10 January 2017. <https://globalcompliancenews.com/new-french-anti-corruption-law-20161123/>

⁶⁵⁸ The morning risk report: France heralds new anti-corruption law, The Wall Street Journal (New York City) 8 November 2016. Access Date: 9 November 2016. <http://blogs.wsj.com/riskandcompliance/2016/11/08/the-morning-risk-report-france-heralds-new-anti-corruption-law/>

In all, the Law is designed as “part of a broader legislative package addressing transparency in economic activities,” with its critical areas of focus in monitoring, detection and remediation of corruption in commercial transactions.⁶⁵⁹

On 7-9 December 2016, France chaired the 4th Global Summit of the Open Government Partnership (OPG). In the summit’s communiqué the members committed to the “purposes and principles” stipulated in the UN Charter, as well as in the UN Declaration on Human Rights and UN Convention against Corruption. It also stated members “will continue to raise the ambition of our open government reforms, to deepen the collaboration between government and civil society.”⁶⁶⁰ This is a verbal declaration of support. More action is needed for compliance.

On 8 December 2016, former French Budget Minister Jerome Cahuzac was sentenced to three years of imprisonment for hiding a personal bank account offshore, first in Switzerland and later in Singapore.⁶⁶¹

France has taken action to improve private sector transparency and integrity. Thus, France receives a score of 0.

Analyst: Rinchen-Dolma Karma

Germany: 0

Germany has partially complied with its commitment to improve public and private sector transparency and integrity.

On 18 October 2016, following a bilateral meeting with Panama President Carlos Varela, German Chancellor Angela Merkel stated that the two countries moved a step closer towards signing an information-sharing agreement.⁶⁶² President Varela commented that Germany and Panama would “fight side-by-side for greater transparency in the global financial sector, as tax evasion had become a global problem.”⁶⁶³ Negotiations between the two are expected to be completed by early 2017.⁶⁶⁴

On 9 December 2016, the German office of Transparency International released a report identifying 105 German lawmakers who had declared sources of supplementary income as having potential conflicts of interests.⁶⁶⁵ They included party leaders, parliamentary leaders, committee heads and

⁶⁵⁹ New French Anti-Corruption Law: France Strengthens its Legislation to Combat Bribery and Corruption and Adopts U.S.-Style Anti-Corruption System, 15 November 2016, Global Compliance News. Date of Access: 01 March 2017. <https://globalcompliancenews.com/new-french-anti-corruption-law-20161123/>

⁶⁶⁰ Paris Declaration, 4th Global Summit of the Open Government Partnership, Open Government Partnership. Date of Access: 01 March 2017. <https://www.opengovpartnership.org/about/paris-declaration>

⁶⁶¹ French ex-budget minister Cahuzac is jailed for tax fraud, Euronews (Lyon) 8 December 2016. Access Date: 10 January 2017. <http://www.euronews.com/2016/12/08/former-french-budget-minister-jerome-cahuzac-is-jailed-for-three-years-for-tax-fraud>

⁶⁶² Germany and Panama move closer towards information-sharing agreement, Deutsche Welle (Berlin/Bonn) 18 October 2016. Access Date: 13 November 2016. <http://www.dw.com/en/germany-and-panama-move-closer-towards-information-sharing-agreement/a-36079895>

⁶⁶³ Germany and Panama move closer towards information-sharing agreement, Deutsche Welle (Berlin/Bonn) 18 October 2016. Access Date: 13 November 2016. <http://www.dw.com/en/germany-and-panama-move-closer-towards-information-sharing-agreement/a-36079895>

⁶⁶⁴ Germany and Panama move closer towards information-sharing agreement, Deutsche Welle (Berlin/Bonn) 18 October 2016. Access Date: 13 November 2016. <http://www.dw.com/en/germany-and-panama-move-closer-towards-information-sharing-agreement/a-36079895>

⁶⁶⁵ Transparency International calls for fuller disclosure of German politicians' supplemental income, Deutsche Welle (Berlin/Bonn) 9 December 2016. Access Date: 10 January 2017. <http://www.dw.com/en/transparency-international-calls-for-fuller-disclosure-of-german-politicians-supplemental-income/a-36706277>

deputies, and ombudsmen.⁶⁶⁶ Transparency International noted that currently these lawmakers were only required to declare their supplementary income in a series of ten levels that bracket income ranges, without having to disclose whom they were working for, the exact amount they were paid, or if they were paid monthly or yearly.⁶⁶⁷ Transparency International called for more disclosure rules in the Bundestag, Germany's Upper House.⁶⁶⁸

On 11 January, 2017, the German government approved new legislation requiring companies to increase transparency around salaries paid to male and female employees, in efforts to address the gender pay gap.⁶⁶⁹ New regulations require companies with more than 200 employees to disclose what men and women in equal positions are earning, as well as businesses with more than 500 employees to publish regular updates on salary structures.⁶⁷⁰

Germany has taken some actions to improve public and private sector transparency and integrity. Thus, Germany receives a score of 0.

Analyst: Rinchen-Dolma Karma

India: 0

India has partially complied with its commitment to improve public and private sector transparency and integrity.

From September 6 to 8, Prime Minister Theresa May visited India to strengthen the India-UK Strategic Partnership.⁶⁷¹ During the visit, the prime ministers affirmed that they will increase interactions to resolve outstanding extradition cases from both sides because “fugitives and criminals should not be allowed to escape the law.”⁶⁷²

On 1 October 2016, Finance Minister Arun Jaitley announced that the Indian government had recovered USD10 billion worth of undeclared income.⁶⁷³ This was the result of an official amnesty offer which guaranteed that suspected tax evaders “would not be pursued by authorities if they came clean and paid a penalty.”⁶⁷⁴ Minister Jaitley also stated that the four-month long scheme, which

⁶⁶⁶ Transparency International calls for fuller disclosure of German politicians' supplemental income, Deutsche Welle (Berlin/Bonn) 9 December 2016. Access Date: 10 January 2017. <http://www.dw.com/en/transparency-international-calls-for-fuller-disclosure-of-german-politicians-supplemental-income/a-36706277>

⁶⁶⁷ Transparency International calls for fuller disclosure of German politicians' supplemental income, Deutsche Welle (Berlin/Bonn) 9 December 2016. Access Date: 10 January 2017. <http://www.dw.com/en/transparency-international-calls-for-fuller-disclosure-of-german-politicians-supplemental-income/a-36706277>

⁶⁶⁸ Transparency International calls for fuller disclosure of German politicians' supplemental income, Deutsche Welle (Berlin/Bonn) 9 December 2016. Access Date: 10 January 2017. <http://www.dw.com/en/transparency-international-calls-for-fuller-disclosure-of-german-politicians-supplemental-income/a-36706277>

⁶⁶⁹ Germany seeks to close gender pay gap with salary transparency, RTE 11 January 2017. Access date: 4 February 2017. <https://www.rte.ie/news/2017/0111/844158-germany-salary-transparency-gender-pay-gap/>

⁶⁷⁰ Germany seeks to close gender pay gap with salary transparency, RTE 11 January 2017. Access date: 4 February 2017. <https://www.rte.ie/news/2017/0111/844158-germany-salary-transparency-gender-pay-gap/>

⁶⁷¹ Press Release: Joint statement between the governments of the UK and India, Prime Minister's Office (London) 7 November 2016. Access Date: 6 November 2016. <https://www.gov.uk/government/news/joint-statement-between-the-governments-of-the-uk-and-india>

⁶⁷² Press Release: Joint statement between the governments of the UK and India, Prime Minister's Office (London) 7 November 2016. Access Date: 6 November 2016. <https://www.gov.uk/government/news/joint-statement-between-the-governments-of-the-uk-and-india>

⁶⁷³ Indians disclose \$10 billion in hidden wealth in tax evasion amnesty, Reuters, 1 October 2016. <http://www.reuters.com/article/us-india-tax-idUSKCN12138J>

⁶⁷⁴ Indians disclose \$10 billion in hidden wealth in tax evasion amnesty, Reuters, 1 October 2016. <http://www.reuters.com/article/us-india-tax-idUSKCN12138J>

ended on 30 September 2016, yielded 64,275 declarations equal to a ‘provisional’ amount of USD9.8 billion.⁶⁷⁵ Although there is “no public target for the initiative,” officials have set an internal recovery goal of USD7.5 SD billion.⁶⁷⁶ After accounting for taxes owed and penalties of 45 per cent the Indian government may raise more than USD4.41 billion.⁶⁷⁷

From 15-16 October 2016, India hosted the Eighth annual Brazil, Russia, India, China, South Africa (BRICS) Summit in Goa. The summit put forward a commitment to strengthen cooperation against corruption, specifically through the BRICS Anti-Corruption Working Group.⁶⁷⁸

On 1 November 2016, the Benami Transactions (Prohibition) Amendment Act, 2016 came into force.⁶⁷⁹ The act is an amendment to the original Prohibition of Benami Property Transactions Act, 1988 which defines a benami (nameless) purchase of property, imposes the penalties of fines and imprisonment on participants, and allows the government to confiscate these properties.⁶⁸⁰ A ‘benami’ or nameless transaction occurs when an individual buys a property using unaccounted for, untaxed money which he or she registers in another individual’s name to hide the money.⁶⁸¹

On 8 November 2016, Prime Minister Narendra Modi announced the demonetization of bills worth INR500 and INR1,000 in an effort “to curb the flow of counterfeit money and to take aim at terrorist organisations that rely on unaccounted-for cash.”⁶⁸² Modi continued to defend the demonetization as a purification rite to restore honesty in the transaction in the country,⁶⁸³ and acknowledged that some government officials had obstructed the transition and promised to take steps to punish them.⁶⁸⁴ Nevertheless, Modi stated that demonetization in the country had successfully undermined terrorism, naxalism, maoism, counterfeit currency trade, human trafficking, and drug trade.⁶⁸⁵

⁶⁷⁵ Indians disclose \$10 billion in hidden wealth in tax evasion amnesty, Reuters, 1 October 2016.

<http://www.reuters.com/article/us-india-tax-idUSKCN12138J>

⁶⁷⁶ Indians disclose \$10 billion in hidden wealth in tax evasion amnesty, Reuters, 1 October 2016.

<http://www.reuters.com/article/us-india-tax-idUSKCN12138J>

⁶⁷⁷ Indians disclose \$10 billion in hidden wealth in tax evasion amnesty, Reuters, 1 October 2016.

<http://www.reuters.com/article/us-india-tax-idUSKCN12138J>

⁶⁷⁸ 8th BRICS Summit Goa Declaration, BRICS (Goa) 16 October 2016. Access Date: 5 November 2016.

<http://brics2016.gov.in/upload/files/document/58038a52e09d4GoaDeclarationAdopted.pdf>

⁶⁷⁹ What are benami properties? All you need to know, The Indian Express (Mumbai) 25 December 2016. Access Date: 6 January 2017. <http://indianexpress.com/article/india/what-are-benami-properties-all-you-need-to-know-modi-demonetisation/>

⁶⁸⁰ What are benami properties? All you need to know, The Indian Express (Mumbai) 25 December 2016. Access Date: 6 January 2017. <http://indianexpress.com/article/india/what-are-benami-properties-all-you-need-to-know-modi-demonetisation/>

⁶⁸¹ What are benami properties? All you need to know, The Indian Express (Mumbai) 25 December 2016. Access Date: 6 January 2017. <http://indianexpress.com/article/india/what-are-benami-properties-all-you-need-to-know-modi-demonetisation/>

⁶⁸² Narendra Modi Bans India’s Largest Currency Bills in Bid to Cut Corruption, The New York Times (New York City) 9 November 2016. Access Date: 9 November 2016. <http://www.nytimes.com/2016/11/09/business/india-bans-largest-currency-bills-for-now-n-bid-to-cut-corruption.html>

⁶⁸³ Full transcript of PM Narendra Modi’s New Year’s Eve speech, Hindustan Times (New Delhi) 31 December 2016. Access Date: 8 January 2017. <http://www.hindustantimes.com/india-news/full-transcript-of-pm-narendra-modi-s-new-year-s-eve-speech/story-kbtxuif2VTel1Egntgr5ml.html>

⁶⁸⁴ Full transcript of PM Narendra Modi’s New Year’s Eve speech, Hindustan Times (New Delhi) 31 December 2016. Access Date: 8 January 2017. <http://www.hindustantimes.com/india-news/full-transcript-of-pm-narendra-modi-s-new-year-s-eve-speech/story-kbtxuif2VTel1Egntgr5ml.html>

⁶⁸⁵ Full transcript of PM Narendra Modi’s New Year’s Eve speech, Hindustan Times (New Delhi) 31 December 2016. Access Date: 8 January 2017. <http://www.hindustantimes.com/india-news/full-transcript-of-pm-narendra-modi-s-new-year-s-eve-speech/story-kbtxuif2VTel1Egntgr5ml.html>

India has taken some actions to improve public and private sector transparency and integrity. Thus, India receives a score of 0.

Analyst: Sonali Gill

Indonesia: +1

Indonesia has fully complied with its commitment to improve public and private sector transparency and integrity.

On 17 September 2016, the Corruption Eradication Committee (KPK) arrested the Regional Representatives Council (DPD) speaker Irman Gusman for allegedly receiving bribes of RP100 million from CV Semesta Berjaya to help his company acquire a sugar importing quota of 3,000 tons.⁶⁸⁶ Following the arrest, the Jakarta Corruption Court started the trial on 8 November 2016.⁶⁸⁷ The prosecutors charged Irman Gusman, along with the company's owners Memi and Xaveriandy Sutanto, in violation of the Corruption Law.⁶⁸⁸

On 17 October 2016, the KPK initiated the Professional with Integrity Movement (PROFIT) program to engage the private sector in taking actions against corruption.⁶⁸⁹ The movement encouraged businesses to increase transparency and accountability, stop giving illicit funds to state officials, and report any extortion by state officials.⁶⁹⁰

On 26 October 2016, Graha Sabha Pramana Gadjah Mada University, in cooperation with the KPK, held the Anti-Corruption Summit 2016.⁶⁹¹ Academics from 86 universities and high-ranking government officials such as Vice President Jusuf Kalla and KPK Chairman Agus Rahardjo participated in the summit.⁶⁹² During the summit, participants exchanged opinions on management strategies that would increase the transparency and accountability of their academic institutions.⁶⁹³ Participants vowed to actively combat corruption, maintain personal and institutional integrity

⁶⁸⁶ KPK Arrests DPD Member, The Jakarta Post (Jakarta) 17 September 2016. Access Date: 13 November 2016. <http://www.thejakartapost.com/news/2016/09/17/kpk-arrests-dpd-member.html>

⁶⁸⁷ DPD Former Speaker Begins Trial Over Bribery, The Jakarta Post (Jakarta) 8 November 2016. Access Date: 13 November 2016. <http://www.thejakartapost.com/news/2016/11/08/dpd-former-speaker-begins-trial-over-bribery.html>

⁶⁸⁸ DPD Former Speaker Begins Trial Over Bribery, The Jakarta Post (Jakarta) 8 November 2016. Access Date: 13 November 2016. <http://www.thejakartapost.com/news/2016/11/08/dpd-former-speaker-begins-trial-over-bribery.html>

⁶⁸⁹ Business World Ready for Picking Up Against Rasuah, Komisi Pemberantasan Korupsi (Jakarta) 20 October 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3732-dunia-usaha-siap-berbenah-melawan-rasuah>

⁶⁹⁰ Business World Ready for Picking Up Against Rasuah, Komisi Pemberantasan Korupsi (Jakarta) 20 October 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3732-dunia-usaha-siap-berbenah-melawan-rasuah>

⁶⁹¹ College Commitment Against Corruption, Komisi Pemberantasan Korupsi (Jakarta) 31 October 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3748-komitmen-perguruan-tinggi-melawan-korupsi>

⁶⁹² College Commitment Against Corruption, Komisi Pemberantasan Korupsi (Jakarta) 31 October 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3748-komitmen-perguruan-tinggi-melawan-korupsi>

⁶⁹³ College Commitment Against Corruption, Komisi Pemberantasan Korupsi (Jakarta) 31 October 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3748-komitmen-perguruan-tinggi-melawan-korupsi>

during the anti-corruption movement, build anti-corruption networks among universities, and assist law enforcement officials to eradicate corruption.⁶⁹⁴

On 7 November 2016, the KPK held a Joint Law Enforcement Training to enhance synergies among domestic law enforcement agencies, the Audit Board (BPK), and the Finance and Development Supervisory Agency on handling corruption cases.⁶⁹⁵ Participants from various government institutions, such as police and prosecutors from the Aceh province, KPK investigators, and the Attorney General attended the event.⁶⁹⁶

On 16 November 2016, the KPK held the 2016 International Business Integrity Conference (IBIC) in Jakarta to engage the private sector on eradicating corruption.⁶⁹⁷ The conference aimed to share knowledge among participants on corruption prevention, enhance transparency and accountability in corporations, strengthen anti-corruption enforcement in the business sector, promote a culture of integrity in business transactions, and initiate corruption prevention programs in the private sector.⁶⁹⁸ Speakers from a range of backgrounds, including domestic and foreign government ministries, professional associations, private firms, and civil society organisations discussed trends and challenges in eradicating corruption.⁶⁹⁹

On 13 December 2016, Saudi Arabia and Indonesia signed a memorandum of understanding (MoU) to enhance bilateral cooperation on combating corruption.⁷⁰⁰ Specifically, the anti-corruption agency of the two countries will work closely “to exchange research, studies and information on anti-corruption measures and criminal methods,” as well as to enhance technical cooperation through trainings and workshops.⁷⁰¹

Indonesia has taken substantial actions to improve public and private sector transparency and integrity. Thus, Indonesia receives a score of +1.

Analyst: Hei Tung Isaac Lo

⁶⁹⁴ College Commitment Against Corruption, Komisi Pemberantasan Korupsi (Jakarta) 31 October 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3748-komitmen-perguruan-tinggi-melawan-korupsi>

⁶⁹⁵ Eradicating Corruption Commission Apgakum Capacity Aceh, Komisi Pemberantasan Korupsi (Jakarta) 8 November 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3754-berantas-korupsi-kpk-tingkatkan-kapasitas-apgakum-aceh>

⁶⁹⁶ Eradicating Corruption Commission Apgakum Capacity Aceh, Komisi Pemberantasan Korupsi (Jakarta) 8 November 2016. Access Date: 13 November 2016. <http://www.kpk.go.id/id/berita/berita-kpk-kegiatan/3754-berantas-korupsi-kpk-tingkatkan-kapasitas-apgakum-aceh>

⁶⁹⁷ Wapres Jusuf Kala Buka Gelaran IBIC, MediaIndonesia (Jakarta) 16 November 2016. Access Date: 12 January 2017. <http://mediaindonesia.com/news/read/77642/wapres-jusuf-kala-buka-gelaran-ibic/2016-11-16>

⁶⁹⁸ International Business Integrity Conference (IBIC) 2016: Trends, Challenges And Collective Action in Corruption Prevention, Anti-Corruption Clearing House (Jakarta) 20 November 2016. Access Date: 12 January 2017. <https://acch.kpk.go.id/id/ragam/makalah/international-business-integrity-conference-ibic-2016-trends-challenges-and-collective-action-in-corruption-prevention>

⁶⁹⁹ International Business Integrity Conference (IBIC) 2016: Trends, Challenges And Collective Action in Corruption Prevention, Anti-Corruption Clearing House (Jakarta) 20 November 2016. Access Date: 12 January 2017. <https://acch.kpk.go.id/id/ragam/makalah/international-business-integrity-conference-ibic-2016-trends-challenges-and-collective-action-in-corruption-prevention>

⁷⁰⁰ Saudi Arabia, Indonesia Sign Anti-Corruption MoU, Thomson Reuters Zawya (Dubai) 14 December 2016. Access Date: 12 January 2017. https://www.zawya.com/mena/en/story/Saudi_Arabia_Indonesia_sign_anticorruption_MoU-ZAWYA20161214033003/

⁷⁰¹ Saudi Arabia, Indonesia Sign Anti-Corruption MoU, Thomson Reuters Zawya (Dubai) 14 December 2016. Access Date: 12 January 2017. https://www.zawya.com/mena/en/story/Saudi_Arabia_Indonesia_sign_anticorruption_MoU-ZAWYA20161214033003/

Italy: 0

Italy has partially complied with its commitment to improve public and private sector transparency and integrity.

In December 2016, the Guardia di Finanza, Italy's financial crimes police, indicated that ten businessmen had been placed under house arrest as part of a larger probe of corruption in Rome's municipal government. The probe also includes 27 suspects. The charges revolve around plans to renovate public schools in the city, and the intention to steal money from renovation funding without actually updating the buildings. According to Italian daily, *Il Fatto Quotidiano*, one of the contracts that was never executed was worth over EUR400,000. The suspects are also accused of having evaded taxes on payments. The Guardia di Finanza has seized over EUR1 million in real estate and financial assets belonging to those in the inquiry.⁷⁰²

On 23 December 2016, Italy's anti-corruption authority (ANAC) accused Rome mayor Virginia Raggi of being aware of Raffele Marra's conflict of interests. Marra, who was Raggi's former head of city personnel, was arrested in mid-December over bribery accusations as well as promoting his brother Renato to the position of Rome's tourism director.⁷⁰³ Raggi's political party, the Five Star Movement, who vowed to "clean up" government and address issues of corruption and transparency have been halted after the links to Raggi and Marra have been exposed.⁷⁰⁴

As of 10 January 2017, the President of ANAC Raffaele Cantone and the Secretary-General of the Organisation for Economic Co-operation and Development (OECD) Angel Gurría have signed a Memorandum of Understanding (MoU) at the International Anti-Corruption Summit in London, aimed at deepening cooperation through promoting the development of the High Level Principle for the integrity, transparency and the effective control of major events and related infrastructures. More specifically, the MoU aims to analyse governance models, methodologies and practices to prevent corruption and promote transparency of state-owned enterprises.⁷⁰⁵

Italy has taken actions to improve public sector transparency and integrity, however, has not taken substantial actions to address private sector transparency and integrity. Thus, Italy receives a score of 0.

Analyst: Harper Stewart

Japan: 0

Japan has partially complied with its commitment to improve public and private sector transparency and integrity.

Japan has continued to sign further Statements of Cooperation (SCO) between the Japan Financial Intelligence Centre (JAFIC) and foreign Financial Intelligence Units (FIU) concerning information exchange dealing with money laundering and terrorist financing.⁷⁰⁶ On 6 September 2016, JAFIC

⁷⁰² Ten detained in Rome corruption Scandal, Deutsche Welle (Berlin/Bonn) 19 December 2016. Access Date: 12 January 2017 <http://www.dw.com/en/ten-detained-in-rome-corruption-scandal/a-36825343>

⁷⁰³ Anti-corruption authority accuses Rome Mayor of Contradictions, Wanted in Rome (Rome) 12 December 2016. Access Date: 12 January 2017. <http://www.wantedinrome.com/news/anti-corruption-authority-accuses-rome-mayor-of-contradictions/>

⁷⁰⁴ Does corruption threaten Europe? EurActiv (London) 6 January 2017. Access Date: 12 January 2017. <http://www.euractiv.com/section/public-affairs/opinion/does-corruption-threaten-europe/>

⁷⁰⁵ ANAC and OECD, 'a cooperating against corruption', The Italian Insider (Rome) 10 January 2017. Access Date: 12 January 2017. <http://www.italianinsider.it/?q=node/4839>

⁷⁰⁶ Statements of Cooperation between JAFIC and foreign FIUs, JAFIC (Tokyo) 14 October 2016. Access Date: 6 January 2017. https://www.npa.go.jp/sosikihanzai/jafic/en/kokusai_e/mousin_e.htm

signed an SCO with Laos' Anti-Money Laundering Intelligence Office, and on 14 October 2016 JAFIC signed an SCO with the Ghana's Financial Intelligence Centre.⁷⁰⁷

On 27 September 2016, the Japanese government announced it would close a gap in the corporate tax code where firms operating subsidiaries in countries with corporate tax rate higher than 20 per cent pay the local rate for most revenue (excluding income from stock dividends).⁷⁰⁸ Instead, the Japanese rate of 29.97 per cent will be imposed regardless of the local corporate tax rate.⁷⁰⁹

In December 2016, the Organisation for Small and Medium Enterprises and Regional Innovation (SME Support) continued to sign Memoranda of Understanding (MoU) with foreign institutions.⁷¹⁰ SME Support concluded a MoU with Bpifrance, a French investment bank, on 6 December 2016 and a MoU with the Taiwanese Kaohsiung Chamber of Industry on 13 December 2016.⁷¹¹

Japan has taken some actions to improve public and private sector transparency and integrity. Thus, Japan receives a score of 0.

Analyst: Christopher Sims

Korea: +1

Korea has fully complied with its commitment to improve public and private sector transparency and integrity.

On 28 September 2016, the "Act on the Prohibition of Improper Solicitations and the Receipt or Offer of Money or Things of Value" (Kim Young-Ran Act) came into effect, with the aim of combatting low-level corruption in public institutions.⁷¹² The Kim Young-Ran Act covers both civil servants and the employees of private-sector news organisations and educational institutions, and prohibits the giving of both money and cash equivalents such as meals and entertainment.⁷¹³ Its only exceptions include low-value meals, gifts, and money for weddings and funerals given because of traditional cultural and social customs.⁷¹⁴

⁷⁰⁷ Statements of Cooperation between JAFIC and foreign FIUs, JAFIC (Tokyo) 14 October 2016. Access Date: 6 January 2017. https://www.npa.go.jp/sosikihanzai/jafic/en/kokusai_e/mousin_e.htm

⁷⁰⁸ Japan to tighten taxation rules on overseas dummy companies, Kyodo News (Tokyo) 27 September 2016. Access Date: 6 January 2017. http://english.kyodonews.jp/panama_papers/2016/09/japan_to_tighten_taxation_rules_on_overseas_dummy_companies.html

⁷⁰⁹ Japan to tighten taxation rules on overseas dummy companies, Kyodo News (Tokyo) 27 September 2016. Access Date: 6 January 2017. http://english.kyodonews.jp/panama_papers/2016/09/japan_to_tighten_taxation_rules_on_overseas_dummy_companies.html

⁷¹⁰ Alliance with foreign [sic] institutions for SME measures, SME Support (Tokyo) 13 December 2016. Access Date: 7 January 2017. <http://www.smrj.go.jp/english/099946.html>

⁷¹¹ Alliance with foreign [sic] institutions for SME measures, SME Support (Tokyo) 13 December 2016. Access Date: 7 January 2017. <http://www.smrj.go.jp/english/099946.html>

⁷¹² Kim Young-ran Act Comes into Effect on Sept. 28, Business Korea (Seoul) 29 September 2016. Access Date: 7 January 2017. <http://www.businesskorea.co.kr/english/news/industry/16054-corruption-prevention-act-kim-young-ran-act-comes-effect-sept-28>

⁷¹³ The New Anti-Corruption Act of Korea and the US Foreign Corrupt Practices Act (FCPA), Yoon & Yang LLC (Seoul) 19 October 2016. Access Date: 7 January 2017. <http://www.lexology.com/library/detail.aspx?g=5399016f-242b-4a7d-aa9e-aa56a3bb630a>

⁷¹⁴ The New Anti-Corruption Act of Korea and the US Foreign Corrupt Practices Act (FCPA), Yoon & Yang LLC (Seoul) 19 October 2016. Access Date: 7 January 2017. <http://www.lexology.com/library/detail.aspx?g=5399016f-242b-4a7d-aa9e-aa56a3bb630a>

On 28 November 2016, the Financial Services Commission (FSC) announced that it would extend legislation combating money laundering to professionals in the non-finance sector, a “blind spot” in the legislation, according to FSC chair Yim Jong-yong.⁷¹⁵ The previous legislation only covered financial firms and casinos.⁷¹⁶

On 9 December 2016, the Anti-corruption and Civil Rights Commission (ACRC) joined the “Network for Integrity,” a global network of public institutions aimed at “developing and promoting an international culture of integrity.”⁷¹⁷ The Network currently has 14 members, and adopted its first charter at the 9 December meeting, which coincided with the United Nations’ “International Anti-Corruption Day.”⁷¹⁸

On 9 December 2016, the parliament voted by a large margin to impeach president Park Geun-hye over corruption and cronyism resulting from Park’s close association with confidante Choi Soon-sil, who has no official government role but was nonetheless viewed to be influencing government policy.⁷¹⁹ Many allege that Park abused her presidential power to enable Choi to solicit large donations for her foundation.⁷²⁰ Other allegations claim that Choi’s daughter Chung Yoo-ra was corruptly granted admission to the elite Ewha Womans University in 2015.⁷²¹ Choi has also been charged with several offenses including coercion and fraud, and Chung was detained by Danish police on 2 January 2017.⁷²² Park will remain president until the Korean Constitutional Court rules on whether the impeachment is valid.⁷²³

On 15 December 2016, the ACRC published a “Business Ethics Guide” to assist businesses in implementing measures to reduce corruption.⁷²⁴ The guide comes in the wake of the Kim Young-Ran

⁷¹⁵ S. Korea to toughen rules on anti-money laundering, Yonhap News Agency (Seoul) 28 November 2016. Access Date: 7 January 2017.

<http://english.yonhapnews.co.kr/business/2016/11/28/67/0502000000AEN20161128005900320F.html>

⁷¹⁶ S. Korea to toughen rules on anti-money laundering, Yonhap News Agency (Seoul) 28 November 2016. Access Date: 7 January 2017.

<http://english.yonhapnews.co.kr/business/2016/11/28/67/0502000000AEN20161128005900320F.html>

⁷¹⁷ ACRC became a founding member of the “Network for Integrity”, Anti-Corruption & Civil Rights Commission of Korea (Seoul) 9 December 2016. Access Date: 8 January 2017.

<http://www.acrc.go.kr/eng/board.do?command=searchDetail&method=searchDetailViewInc&menuId=020501&conflD=62&conConflD=62&conTabId=0&currPageNo=1&boardNum=61637>

⁷¹⁸ ACRC became a founding member of the “Network for Integrity”, Anti-Corruption & Civil Rights Commission of Korea (Seoul) 9 December 2016. Access Date: 8 January 2017.

<http://www.acrc.go.kr/eng/board.do?command=searchDetail&method=searchDetailViewInc&menuId=020501&conflD=62&conConflD=62&conTabId=0&currPageNo=1&boardNum=61637>

⁷¹⁹ Park Geun-hye: South Korean MPs vote to impeach president, The Guardian (London) 9 December 2016. Access Date: 7 January 2017. <https://www.theguardian.com/world/2016/dec/09/south-koreas-parliament-votes-to-impeach-president-park-geun-hye>

⁷²⁰ Park Geun-hye: South Korean MPs vote to impeach president, The Guardian (London) 9 December 2016. Access Date: 7 January 2017. <https://www.theguardian.com/world/2016/dec/09/south-koreas-parliament-votes-to-impeach-president-park-geun-hye>

⁷²¹ Daughter of South Korean leader’s friend arrested in Denmark amid graft probe, Reuters (London) 2 January 2017. Access Date: 7 January 2017. <http://www.reuters.com/article/us-southkorea-politics-idUSKBN14M01D?il=0>

⁷²² South Korea scandal: Daughter of Choi soon-sil arrested, BBC News (London) 2 January 2017. Access Date: 7 January 2017. <http://www.bbc.com/news/world-asia-38487037>

⁷²³ Park Geun-hye: South Korean MPs vote to impeach president, The Guardian (London) 9 December 2016. Access Date: 7 January 2017. <https://www.theguardian.com/world/2016/dec/09/south-koreas-parliament-votes-to-impeach-president-park-geun-hye>

⁷²⁴ ACRC provides Business Ethics Guide for companies, Anti-Corruption & Civil Rights Commission of Korea (Seoul) 15 December 2016. Access Date: 7 January 2017.

<http://www.acrc.go.kr/eng/board.do?command=searchDetail&method=searchDetailViewInc&menuId=020501&conflD=62&conConflD=62&conTabId=0&currPageNo=1&boardNum=61641>

Act, which was targeted primarily at the public sector, to address concerns that the private sector must engage in similar anti-corruption efforts.⁷²⁵

Korea has taken substantial actions to improve public and private sector transparency and integrity. Thus, Korea receives a score of +1.

Analyst: Christopher Sim

Mexico: -1

Mexico has not complied with its commitment to improve public and private sector transparency and integrity.

On 7 November 2016, the Selection Committee of the National Anticorruption System (SNA) called for the election of five non-partisan citizens with experience in combatting corruption and knowledge about transparency to form a Committee for Citizen Participation (CPC).⁷²⁶ The Commission announced that this Committee would propose anti-corruption policies and monitor the functioning of SNA, and would be the first collegial body of citizens in Mexican history to be elected by citizens.⁷²⁷ This action aims to integrate the civil society in the SNA's new governance structure to ensure its increased greater oversight.⁷²⁸

On 14 November 2016, Undersecretary of Expenses of the Ministry of Finance and Public Credit (SHCP) Fernando Galindo announced that the sum of MXN9 billion was designated in the 2017 Expenditure Budget to implement the National Anti-corruption System (SNA) and to avoid the misuse of public resources by governors.⁷²⁹ Galindo said in a telephone interview with Formula Group that “sufficient resources to the autonomous bodies that will review exercise of public resources” are necessary to strengthen the tools of the SNA.⁷³⁰

Mexico has taken some actions to improve public sector transparency and integrity, but has not taken actions to address private sector transparency and integrity. Thus, Mexico receives a score of -1.

Analyst: Jessie Xie

⁷²⁵ ACRC provides Business Ethics Guide for companies, Anti-Corruption & Civil Rights Commission of Korea (Seoul) 15 December 2016. Access Date: 7 January 2017.

<http://www.acrc.go.kr/eng/board.do?command=searchDetail&method=searchDetailViewInc&menuId=020501&confId=62&conConfId=62&conTabId=0&currPageNo=1&boardNum=61641>

⁷²⁶ Call to form the citizens committee of the National Anticorruption System, Aristegui Noticias, 7 November 2016. Access Date: 12 January 2017. <http://aristeguinoticias.com/0711/mexico/convocatoria-para-conformar-el-comite-ciudadano-del-sistema-nacional-anticorrupcion-documento/>

⁷²⁷ Call to form the citizens committee of the National Anticorruption System, Aristegui Noticias, 7 November 2016. Access Date: 12 January 2017. <http://aristeguinoticias.com/0711/mexico/convocatoria-para-conformar-el-comite-ciudadano-del-sistema-nacional-anticorrupcion-documento/>

⁷²⁸ Corruption in Mexico infects the economy: OECD, La Jornada (Mexico City) 11 January 2017. Access Date: 12 January 2017. <http://www.jornada.unam.mx/ultimas/2017/01/11/la-corrupcion-en-mexico-contagia-a-la-economia-ocde>

⁷²⁹ Mexico Will Allocate 9,000 MDP To Combat Cases Like Those of Duarte And Padres, Expansión (Mexico City) 14 November 2016. Access Date: 12 January 2017. <http://expansion.mx/economia/2016/11/14/mexico-destinara-9-000-mdp-para-combatir-casos-como-los-de-duarte-y-padres>

⁷³⁰ Mexico Will Allocate 9,000 MDP To Combat Cases Like Those of Duarte And Padres, Expansión (Mexico City) 14 November 2016. Access Date: 12 January 2017. <http://expansion.mx/economia/2016/11/14/mexico-destinara-9-000-mdp-para-combatir-casos-como-los-de-duarte-y-padres>

Russia: 0

Russia has partially complied with its commitment to improve public and private sector transparency and integrity.

From 15-16 October 2016, Russia took part in the Eighth annual Brazil, Russia, India, China, South Africa (BRICS) Summit in Goa, India. The summit put forward a commitment to strengthen cooperation against corruption, specifically through the BRICS Anti-Corruption Working Group.⁷³¹

On 30 November 2016, Russian Presidential Aide Yevgeny Shkolov held a seminar on implementing Russian corruption prevention legislation. The heads of federal and regional agencies tasked with preventing corruption and other legal offences took part in the event. The participants discussed “raising the effectiveness of detecting and preventing conflict of interest in civil service at all levels, carrying out inspections to ensure anti-corruption standards are respected, anti-corruption education, and mechanisms to monitor expenses and material liability for corruption.”⁷³²

On 15 December 2016, President of Russia submitted to the State Duma draft Federal Law On Amendments to Certain Legislative Acts of the Russian Federation to Improve State Policy in Countering Corruption. It is aimed at further improving the anti-corruption legislation of the Russian Federation. It provides for conferring “on Russian regions’ heads the responsibility for verifying the accuracy and completeness of income and property information submitted by municipal officials and persons holding certain positions in the municipal services.” The draft federal law also includes other changes aimed at “specifying procedures for settling a conflict of interests and the scope of persons responsible for preventing or settling such conflict.”⁷³³

Russia has taken actions to improve public sector integrity, despite not addressing private sector transparency and integrity. Thus, Russia receives a score of 0.

Analysts: Jessie Xie & Mark Rakhmangulov

Saudi Arabia: 0

Saudi Arabia has partially complied with its commitment to improve public and private sector transparency.

On 28 October 2016, the National Anti-Corruption Commission of Saudi Arabia, Nazala, was called to investigate an alleged case of corruption involving the appointment civil service minister’s son to a project manager position of a higher-than-average salary. Nazala monitored social media posts regarding the hiring to “ensure the implementation of the national strategy to protect integrity and fight against corruption.”⁷³⁴

On 22 November 2016, Nazaha participated in the first annual Nazaha club meeting organized by King Saud University. Nazaha officials participated in the meeting to share experiences in the fight

⁷³¹ 8th BRICS Summit Goa Declaration, BRICS (Goa) 16 October 2016. Access Date: 5 November 2016.

<http://brics2016.gov.in/upload/files/document/58038a52e09d4GoaDeclarationAdopted.pdf>

⁷³² Seminar on Preventing Corruption and Other Legal Offences, President of Russia 30 November 2016.

<http://en.kremlin.ru/catalog/keywords/93/events/53391>

⁷³³ Vladimir Putin submitted to the State Duma draft law amending certain legislative acts to improve state anti-corruption policy, President of Russia 15 December 2016. <http://en.kremlin.ru/catalog/keywords/93/events/53484>

⁷³⁴ Saudi anti-corruption body probes appointment of minister’s son, Arab News (Jeddah) 28 October 2016. Date of Access: 18 November 2016. <http://www.arabnews.com/node/1003406/saudi-arabia>

against corruption, and expressed hope to develop self-monitoring in the students through positive practise against corruption.⁷³⁵

On 28 November 2016, Nazaha discovered 10 ministries that did not commit to one or more conditions in the regulatory requirements necessary for contracting which are as follow: Ministry of Housing, Ministry of Economy and Planning, Ministry of Municipal and Rural Affairs, Ministry of Health, Ministry of Transport, Ministry of Culture and Information, Ministry of Trade and Investment, Ministry of Justice, Ministry of Labour and Social Development, Ministry of Communications and Information Technology.⁷³⁶

On 13 December 2016, the Form of the Anti-Corruption Day 2016 “United Against Corruption” concluded. At the beginning of the forum, the President of Nazaha Dr. Khaled Al-Muhaisen indicated that the forum brings together specialists and those interested in fighting corruption, promoting the principles of the United Nations Convention Against Corruption (UNCAC), and that countries should organize regional and international conferences to strengthen cooperation and exchange of experiences in the field of fighting corruption.⁷³⁷

On 13 December 2016, Saudi Arabia and Indonesia signed a memorandum of understanding (MoU) to enhance bilateral cooperation on combating corruption.⁷³⁸ Specifically, the anti-corruption agency of the two countries will work closely “to exchange research, studies and information on anti-corruption measures and criminal methods,” as well as to enhance technical cooperation through trainings and workshops.⁷³⁹

Saudi Arabia has taken actions to improve public sector transparency and integrity, however did not address private sector transparency and integrity. Thus, Saudi Arabia receives a score of 0.

Analyst: Jerry Zhu

South Africa: -1

South Africa has not complied with its commitment to improve public and private transparency and integrity.

From 15-16 October 2016, South Africa took part in the Eighth annual Brazil, Russia, India, China, South Africa (BRICS) Summit in Goa, India. The summit put forward a commitment to strengthen cooperation against corruption, specifically through the BRICS Anti-Corruption Working Group.⁷⁴⁰

⁷³⁵ In cooperation with Nazaha: King Saud University organizes the first annual Nazaha Clubs meeting on educational institutions, Nazaha (National Anti-Corruption Commission) 22 November 2016. Date of Access: 13 January 2017. <http://www.nazaha.gov.sa/en/Media/News/Pages/news036.aspx>

⁷³⁶ Saudi anti-corruption commission says 10 ministries broke hiring rules, GulfNews (Dubai) 28 November 2016. Date of Access: 13 January 2017. <http://gulfnews.com/news/gulf/saudi-arabia/saudi-anti-corruption-commission-says-10-ministries-broke-hiring-rules-1.1936342>

⁷³⁷ The conclusion of Nazaha's Forum in observing the International Anti-Corruption Day 2016, Nazaha (National Anti-Corruption Commission) 13 December 2016. Date of Access: 13 January 2017. <http://www.nazaha.gov.sa/en/Media/News/Pages/news040.aspx>

⁷³⁸ Saudi Arabia, Indonesia Sign Anti-Corruption MoU, Thomson Reuters Zawya (Dubai) 14 December 2016. Access Date: 12 January 2017. https://www.zawya.com/mena/en/story/Saudi_Arabia_Indonesia_sign_anticorruption_MoU-ZAWYA20161214033003/

⁷³⁹ Saudi Arabia, Indonesia Sign Anti-Corruption MoU, Thomson Reuters Zawya (Dubai) 14 December 2016. Access Date: 12 January 2017. https://www.zawya.com/mena/en/story/Saudi_Arabia_Indonesia_sign_anticorruption_MoU-ZAWYA20161214033003/

⁷⁴⁰ 8th BRICS Summit Goa Declaration, BRICS (Goa) 16 October 2016. Access Date: 5 November 2016. <http://brics2016.gov.in/upload/files/document/58038a52e09d4GoaDeclarationAdopted.pdf>

South Africa has not taken substantial actions to improve public or private sector transparency and integrity. Thus, South Africa receives a score of -1.

Analyst: Elise Wagner

Turkey: -1

Turkey has not complied with its commitment to take action to improve public sector and private sector transparency and integrity.

No actions were registered during the compliance period.

Turkey has not taken substantial actions to improve public and private sector transparency and integrity. Thus, Turkey receives a score of -1.

Analyst: Elise Wagner

United Kingdom: +1

The United Kingdom has fully complied with its commitment to improve public and private sector transparency and integrity.

From September 6 to 8, Prime Minister Theresa May visited India to strengthen the India-UK Strategic Partnership.⁷⁴¹ During the visit, the prime ministers affirmed that they will increase interactions to resolve outstanding extradition cases from both sides because “fugitives and criminals should not be allowed to escape the law.”⁷⁴²

On 19 September 2016, Financial Secretary to the Treasurer, Jane Ellison, visited Singapore in support of Anti-Corruption Week.⁷⁴³ In her speech, Ellison claimed that the UK is intending to use technology to fight corruption, investigate methods of improving governance in the extractive industry, explore corruption in sports, and improve the justice system.⁷⁴⁴

On 19 September 2016, the UK government announced funding of GBP1 million towards the creation of a new facility that will tackle a range of organised crimes including high value fraud.⁷⁴⁵ The new facility will allow for further intelligence sharing and collaboration between the agencies in tackling organised crime.⁷⁴⁶

⁷⁴¹ Press Release: Joint statement between the governments of the UK and India, Prime Minister’s Office (London) 7 November 2016. Access Date: 6 November 2016. <https://www.gov.uk/government/news/joint-statement-between-the-governments-of-the-uk-and-india>

⁷⁴² Press Release: Joint statement between the governments of the UK and India, Prime Minister’s Office (London) 7 November 2016. Access Date: 6 November 2016. <https://www.gov.uk/government/news/joint-statement-between-the-governments-of-the-uk-and-india>

⁷⁴³ Address by Financial Secretary to the Treasurer Jane Ellison at Anti-Corruption Week in Singapore, HM Treasury (London) 19 September 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/speeches/britain-and-singapore-are-natural-allies-in-the-global-fight-against-corruption-says-financial-secretary>

⁷⁴⁴ Address by Financial Secretary to the Treasurer Jane Ellison at Anti-Corruption Week in Singapore, HM Treasury (London) 19 September 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/speeches/britain-and-singapore-are-natural-allies-in-the-global-fight-against-corruption-says-financial-secretary>

⁷⁴⁵ £1 million funding boost to fight organised crime in the North East, Home Office (London) 19 September 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/news/1-million-funding-boost-to-fight-organised-crime-in-the-north-east>

⁷⁴⁶ £1 million funding boost to fight organised crime in the North East, Home Office (London) 19 September 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/news/1-million-funding-boost-to-fight-organised-crime-in-the-north-east>

On 11 October 2016, the UK government stated that it will be adding an Inclusive Economy Unit to the Office for Civil Society in the Department for Culture, Media and Sport.⁷⁴⁷ The unit aims to collaborate with the public, private, and civil sectors to “build an economy and a Britain that works for everyone, not just the privileged few.”⁷⁴⁸

On 13 October 2016, the UK government introduced the Criminal Finances Bill intended to “tackle money laundering and corruption.” The bill measures include investigating origins of unexplained wealth, increasing information sharing in public and private sectors, and better law enforcement to prevent tax evasion.⁷⁴⁹

On 24 October 2016, the Competition and Markets Authority (CMA) launched a campaign in response to a survey conducted by the CMA which revealed that 54 per cent of UK businesses do not understand regulations regarding unfair terms for consumers.⁷⁵⁰ Consequently, the CMA provided guides to help businesses ensure the fairness of their terms.⁷⁵¹

On 31 October 2016, the Charity Commission partnered with the Fraud Advisory Panel to launch the Charities Against Fraud website to tackle fraud within not-for-profit sectors.⁷⁵²

In November 2016, the Serious Fraud Office was given additional funding by the Treasury to investigate Unaoil, a company accused of corruptly securing contracts for multinationals through bribery.⁷⁵³

On 1 November 2016, the UK government announced the addition of five standards for Tax Planning to the Professional Conduct in Relation to Tax (PCRT) in order to prevent tax avoidance and strengthen disciplinary action.⁷⁵⁴ The revision will come into effect in March 2017.⁷⁵⁵

On 4 November 2016, the Cabinet Office revealed that its National Fraud Initiative “prevented fraud, overpayments and errors amounting to GBP198 million in England.”⁷⁵⁶

⁷⁴⁷ Government announces Inclusive Economy Unit, Department for Culture, Media & Sport (London) 11 October 2016. Access Date: 10 November. <https://www.gov.uk/government/news/government-announces-inclusive-economy-unit>

⁷⁴⁸ Government announces Inclusive Economy Unit, Department for Culture, Media & Sport (London) 11 October 2016. Access Date: 10 November. <https://www.gov.uk/government/news/government-announces-inclusive-economy-unit>

⁷⁴⁹ New legislation to attack criminal finances, Home Office & Scotland Office (London) 13 October 2016. Access Date: 6 November 2016. <https://www.gov.uk/government/news/new-legislation-to-attack-criminal-finances>

⁷⁵⁰ Over half of business don’t know unfair contract rules well, Consumer Protection (London) 24 October 2016. Access Date: 10 November 2016. <https://www.gov.uk/government/news/over-half-of-businesses-dont-know-unfair-contract-rules-well>

⁷⁵¹ Over half of business don’t know unfair contract rules well, Consumer Protection (London) 24 October 2016. Access Date: 10 November 2016. <https://www.gov.uk/government/news/over-half-of-businesses-dont-know-unfair-contract-rules-well>

⁷⁵² Fraud Awareness Week: round up, The Charity Commission (London) 2 November 2016. Access Date: 6 November 2016. <https://www.gov.uk/government/news/fraud-awareness-week-round-up>

⁷⁵³ Serious Fraud Office given extra funds to investigate Unaoil bribery claims, The Guardian (London) 1 November 2015. Access Date: 6 November 2016. <https://www.theguardian.com/law/2016/nov/01/serious-office-given-extra-funds-to-investigate-unaoil-bribery-claims>

⁷⁵⁴ Update to UK Code of Conduct for Tax Professionals, Tax-News (London) 3 November 2016. Access Date: 6 November 2016. http://www.tax-news.com/news/Update_To_UK_Code_Of_Conduct_For_Tax_Professionals____72661.html

⁷⁵⁵ Updated code of conduct will discourage number of avoidance schemes, HM Revenue & Customs (London) 1 November 2016. Access Date: 8 November 2016. <https://www.gov.uk/government/news/updated-code-of-conduct-will-discourage-number-of-avoidance-schemes>

On 7 November 2016, the CMA began a new campaign to prevent price fixing amongst online sellers.⁷⁵⁷

On 8 November 2016, the UK government's Panama Taskforce opened investigations into 22 people suspected of tax evasion alongside 43 individuals who have been placed under special review.⁷⁵⁸ The cross-agency taskforce has found links between the suspects and eight active Serious Fraud Office (SFO) investigations.⁷⁵⁹

On 8 November 2016, the UK government extended legal protection for whistleblowers applying for jobs in the children's social care sector through an amendment to the Children and Social Work Bill.⁷⁶⁰ In addition, the government will "review the whistleblowing code for employers by the end of 2017."⁷⁶¹

On 14 November 2016, the Office of Tax Simplification (OTS) reaffirmed its commitment to reforming the existing system by creating a simpler and fairer National Insurance contributions system for businesses and taxpayers.⁷⁶²

On 29 November 2016, the UK government launched a public consultation on measures to strengthen corporate governance.⁷⁶³ New measures proposed include strengthening the corporate governance framework for privately-held companies, identifying which types of companies need to strengthen stakeholder voices, and increasing shareholder influence by improving transparency and long-term incentives.⁷⁶⁴

On 5 December 2016, Her Majesty's Treasury announced that the Finance Bill 2017 will continue "the government's commitment to tackle tax evasion and avoidance and support business through tax evasion."⁷⁶⁵ The draft legislation will prevent the use of disguised remuneration schemes, introduce a

⁷⁵⁶ Cabinet Office's fraud initiative has saved nearly £200 million for the taxpayer, Cabinet Office (London) 4 November 2016. Access Date 8 November 2016. <https://www.gov.uk/government/news/cabinet-offices-fraud-initiative-has-saved-nearly-200-million-for-the-taxpayer>

⁷⁵⁷ CMA warns online sellers about price-fixing, Competition and Markets Authority (London) 7 November 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/news/cma-warns-online-sellers-about-price-fixing>

⁷⁵⁸ Panama Papers: UK Probe launched into 22 suspected tax evaders, Russia Today (Moscow) 9 November 2016. Access Date: 12 November 2016. <https://www.rt.com/uk/366128-tax-evasion-panama-papers/>

⁷⁵⁹ Panama Papers: UK Probe launched into 22 suspected tax evaders, Russia Today (Moscow) 9 November 2016. Access Date: 12 November 2016. <https://www.rt.com/uk/366128-tax-evasion-panama-papers/>

⁷⁶⁰ Government agrees to extend legal protections for whistleblowers in children's social care sector, Department for Business, Energy & Industrial Strategy (London) 8 November 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/news/government-agrees-to-extend-legal-protections-for-whistleblowers-in-childrens-social-care-sector>

⁷⁶¹ Government agrees to extend legal protections for whistleblowers in children's social care sector, Department for Business, Energy & Industrial Strategy (London) 8 November 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/news/government-agrees-to-extend-legal-protections-for-whistleblowers-in-childrens-social-care-sector>

⁷⁶² OTS calls for simpler and fairer national insurance, Office of Tax Simplification (London) 14 November 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/ots-calls-for-simpler-and-fairer-national-insurance>

⁷⁶³ Press Release: Government launches review of corporate governance, Department Business, Energy and Industrial Strategy (London) 29 November 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/government-launches-review-of-corporate-governance>

⁷⁶⁴ Press Release: Government launches review of corporate governance, Department Business, Energy and Industrial Strategy (London) 29 November 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/government-launches-review-of-corporate-governance>

⁷⁶⁵ Finance Bill 2017: government legislates for new tax changes, HM Treasury (London) 5 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/finance-bill-2017-government-legislates-for-new-tax-changes>

new penalty for those enabling tax avoidance schemes, introduce a new rule to correct past failures to pay UK tax on offshore accounts, and strengthen sanctions and penalties on illegal tobacco.⁷⁶⁶

On 12 December 2016, Her Majesty's Revenue and Customs (HMRC) announced that it will be introducing an online system for anti-money laundering supervision in 2017.⁷⁶⁷ The launch of the online system will make it easier for businesses to register and renew online, reduce error, and better meet customer needs.⁷⁶⁸

On 15 December 2016, the Royal Charter and the accompanying Framework Agreement was published.⁷⁶⁹ Changes made to the Charter require the British Broadcasting Corporation (BBC) to become "more open and transparent" in all its operations such as revealing the salaries of employees who earn more than GBP150, 000.⁷⁷⁰

On 15 December 2016, the Competition and Markets Authority (CMA) concluded its study into the legal services sector and reported that legal services for individual consumers and small businesses is ineffective.⁷⁷¹ As a result, the CMA set out measures to help customers to better navigate the market. Some measures include a recommendation to the Ministry of Justice to change the current framework to increase flexibility and consumer protection, and a requirement for providers to display additional information to help customers.⁷⁷²

On 21 December 2016, the Prime Minister published a revised Ministerial Code focused on strengthening ministerial accountability and special adviser transparency.⁷⁷³ In addition, the government published an updated list of Ministers' Interests, and the annual list of special advisers and their cost.⁷⁷⁴

⁷⁶⁶ Finance Bill 2017: government legislates for new tax changes, HM Treasury (London) 5 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/finance-bill-2017-government-legislates-for-new-tax-changes>

⁷⁶⁷ HMRC anti-money laundering supervision goes online, HM Revenue & Customs (London) 12 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/hmrc-anti-money-laundering-supervision-goes-online>

⁷⁶⁸ HMRC anti-money laundering supervision goes online, HM Revenue & Customs (London) 12 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/hmrc-anti-money-laundering-supervision-goes-online>

⁷⁶⁹ New BBC Charter and framework agreement published, Department for Culture, Media and Sport (London) 15 December 2016. Access Date: 12 January 2017. <https://www.gov.uk/government/news/new-bbc-charter-and-framework-agreement-published>

⁷⁷⁰ BBC Charter to safeguard future while delivering transparency for licence fee payers, Department for Culture, Media & Sport (London) 15 September 2016. Access Date: 12 November 2016. <https://www.gov.uk/government/news/bbc-charter-to-safeguard-future-while-delivering-transparency-for-licence-fee-payers>

⁷⁷¹ Press Release: CMA demands greater transparency from legal service providers, Competition and Markets Authority (London) 15 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/cma-demands-greater-transparency-from-legal-service-providers>

⁷⁷² Press Release: CMA demands greater transparency from legal service providers, Competition and Markets Authority (London) 15 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/cma-demands-greater-transparency-from-legal-service-providers>

⁷⁷³ Government accountability and transparency: written ministerial statement, Cabinet Office (London) 21 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/speeches/government-accountability-and-transparency-written-ministerial-statement>

⁷⁷⁴ Government accountability and transparency: written ministerial statement, Cabinet Office (London) 21 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/speeches/government-accountability-and-transparency-written-ministerial-statement>

On 27 December 2016, the UK government announced the introduction of measures to prevent electoral fraud.⁷⁷⁵ These measures aim to prevent intimidation and unwanted influence of voters, end the practice of postal vote harvesting, and consider nationality checking.⁷⁷⁶

As of 1 January 2017, people and businesses which enable offshore tax evasion will face harsher sanctions in the UK.⁷⁷⁷ Individuals may face fines up to 100 per cent of the tax they helped evade or GBP3,000, whichever is higher.⁷⁷⁸ This year, the government will also be introducing a new corporate criminal offence for individuals failing to prevent the facilitation of tax evasion⁷⁷⁹

On 5 January 2017, the Ministry of Justice announced plans to introduce “a simpler and fairer pay system” for criminal defence advocates.⁷⁸⁰ The improved Advocates’ Graduated Fee aims to increase certainty and transparency for advocates, ensure fair payment for work done, and reduce bureaucracy in the system.⁷⁸¹

On 5 January 2017, the Secretary of State James Brokenshire wrote to Northern Ireland political parties to seek views on the transparency of political donations and loans.⁷⁸² Brokenshire aims to “implement a change to the rules as soon as possible, bringing Northern Ireland into step with the wider UK.”⁷⁸³

The United Kingdom has taken substantial actions to improve public and private sector transparency and integrity. Thus, the United Kingdom receives a score of +1.

Analyst: Keshini Mahesan

United States: +1

The United States has fully complied with its commitment to improve public and private sector transparency and integrity.

⁷⁷⁵ Press Release: Eliminating electoral fraud in polling stations, Cabinet Office (London) 27 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/eliminating-electoral-fraud-in-polling-stations>

⁷⁷⁶ Press Release: Eliminating electoral fraud in polling stations, Cabinet Office (London) 27 December 2016. Access Date: 10 January 2017. <https://www.gov.uk/government/news/eliminating-electoral-fraud-in-polling-stations>

⁷⁷⁷ New Year brings in new penalties for enablers of offshore tax evasion, HM Treasury (London) 1 January 2017. Access Date: 10 January 2017. <https://www.gov.uk/government/news/new-year-brings-in-new-penalties-for-enablers-of-offshore-tax-evasion>

⁷⁷⁸ New Year brings in new penalties for enablers of offshore tax evasion, HM Treasury (London) 1 January 2017. Access Date: 10 January 2017. <https://www.gov.uk/government/news/new-year-brings-in-new-penalties-for-enablers-of-offshore-tax-evasion>

⁷⁷⁹ New Year brings in new penalties for enablers of offshore tax evasion, HM Treasury (London) 1 January 2017. Access Date: 10 January 2017. <https://www.gov.uk/government/news/new-year-brings-in-new-penalties-for-enablers-of-offshore-tax-evasion>

⁷⁸⁰ Press Release: Proposals to reform criminal defence advocates pay published, Ministry of Justice (London) 5 January 2017. Access Date 10 January 2017. <https://www.gov.uk/government/news/proposals-to-reform-criminal-defence-advocates-pay-published>

⁷⁸¹ Press Release: Proposals to reform criminal defence advocates pay published, Ministry of Justice (London) 5 January 2017. Access Date 10 January 2017. <https://www.gov.uk/government/news/proposals-to-reform-criminal-defence-advocates-pay-published>

⁷⁸² Press Release: Secretary of State to seek views on funding transparency, Northern Ireland Office (London) 5 January 2017. Access Date 10 January 2017. <https://www.gov.uk/government/news/secretary-of-state-to-see-views-on-funding-transparency>

⁷⁸³ Press Release: Secretary of State to seek views on funding transparency, Northern Ireland Office (London) 5 January 2017. Access Date 10 January 2017. <https://www.gov.uk/government/news/secretary-of-state-to-see-views-on-funding-transparency>

On 15 September 2016, the Office of Government Ethics released its open government plan for 2016-17. The plan outlines how the Office will ensure transparency in its operations for the 2016-17 year. Several key contents include: how the department handles high value data and information, Congressional requests, privacy and whistleblower protection.⁷⁸⁴

On 16 September 2016, the Secretary of the Department of Homeland Security Jeh Johnson released a statement concerning the cybersecurity of the country's election systems. The statement outlined what services the Department of Homeland Security will provide to ensure integrity against attacks in the nation's state and local election systems.⁷⁸⁵

On 13 October 2016, the United States suspended financial aid to the South Sudan government over massive corruption and human rights violations. A statement released by the US embassy disclosed one condition for US financial aid as "reducing corruption related to the extraction of oil and gas"⁷⁸⁶

On 20 October 2016, Attorney General Loretta E. Lynch delivered an address on the Department of Justice's efforts to fight corruption abroad. The address covered the United States' role as a global player in the fight against corruption, and outlined the actions the US has taken. Several of these actions included: the public integrity units' fight against corruption within the US government, the Foreign Corrupt Practises Act which has collected over USD4.4 billion in corporation penalties, and the Kleptocracy Asset Recovery Initiative which detects fraud in the US financial system and returns stolen assets.⁷⁸⁷

On 27 October 2016, Secretary Johnson released a statement on the department's successes indicting 61 officials involved in a multi-million dollar telemarketing fraud scheme.⁷⁸⁸

On 15 November 2016, the Department of Homeland Security Acting Deputy Secretary Russell C. Deyo released a statement stating that the Department of Homeland Security has earned a clean audit opinion for the fourth year, citing financial integrity as the contributor for the good rating.⁷⁸⁹

⁷⁸⁴ Open Government Plan, United States Office of Government Ethics (Washington, D.C.) 15 September 2016. Access Date: 10 January 2017.

[https://www.oge.gov/web/oge.nsf/Open%20Government/EC17ECC25487D9368525802F004558D8/\\$FILE/OGE%20Open%20Government%20Plan%202016-2017%20\(final\).pdf?open](https://www.oge.gov/web/oge.nsf/Open%20Government/EC17ECC25487D9368525802F004558D8/$FILE/OGE%20Open%20Government%20Plan%202016-2017%20(final).pdf?open)

⁷⁸⁵ Statement by Secretary Johnson Concerning the Cybersecurity of the Nation's Election System, United States Department of Homeland Security (Washington, D.C.) 16 September 2016. Access Date: 10 January 2017.

<https://www.dhs.gov/news/2016/09/16/statement-secretary-johnson-concerning-cybersecurity-nation%E2%80%99s-election-systems>

⁷⁸⁶ America's Tough Conditions for Financial Aid in South Sudan, The EastAfrican, 13 October 2016. Date of Access: 18 November 2016. <http://www.theeastafican.co.ke/news/US-tough-conditions-for-financial-aid-to-South-Sudan/2558-3415992-1puq97/index.html>

⁷⁸⁷ Attorney General Loretta E. Lynch Delivers Remarks on Department of Justice Efforts in the Fight Against International Fraud and Corruption, The United States Department of Justice (Washington, D.C.) 20 October 2016. Date of Access: 10 January 2017. <https://www.justice.gov/opa/speech/attorney-general-loretta-e-lynch-delivers-remarks-department-justice-efforts-fight>

⁷⁸⁸ Statement by Secretary Johnson on Takedown of Multi-Million Dollar Call Center Fraud Scheme, The United States Department of Homeland Security (Washington, D.C.) 27 October 2016. Date of Access: 10 January 2017. <https://www.dhs.gov/news/2016/10/27/statement-secretary-johnson-takedown-multi-million-dollar-call-center-fraud-scheme>

⁷⁸⁹ Statement By Acting Deputy Secretary Russell C. Deyo On Sustaining Clean Audit for Fourth Year, The United States Department of Homeland Security (Washington, D.C.) 15 November 2016. Date of Access: 10 January 2016. <https://www.dhs.gov/news/2016/11/15/statement-acting-deputy-secretary-russell-c-deyo-sustaining-clean-audit-fourth-year>

On 18 November 2016, the Office of Government Ethics amended its executive branch gift rules, now admonishing employees to decline otherwise permissible gifts for the appearance of impropriety. This was in accordance with the 14 General Principles of Ethical Conduct.⁷⁹⁰

On 14 December 2016, the Department of Justice Office of Public Affairs released a statement noting that the Justice Department recovered over USD4.7 billion from false claims act cases in the fiscal year 2016, the third largest amount in recoveries from the False Claim Act in history. The statement notes that the largest fraud recoveries by the government come from the drug and healthcare sectors.⁷⁹¹

On 16 December 2016, the United States Secretary of State John Kerry released the National Action Plan for responsible business partnerships. Through partnerships with the private sector, labor groups, civil society, other governments, and international organisations, the United States fought corruption by encouraging companies overseas to embrace high standards of responsible business conduct.⁷⁹²

On 23 December 2016, the Minister of Treasury and Public Finance Prat-Gay and the US Ambassador to Argentina Noah Mamet signed an agreement on information exchange.⁷⁹³ This agreement is designed to combat tax evasion and promote fiscal transparency.⁷⁹⁴ It created the legal framework to share information between Argentina and the US, promoting the ability to use information to prosecute individuals undertaking financial tax crimes and financial corruption in both countries.⁷⁹⁵

On 29 December 2016, as a year-end report, the Department of Justice's US Attorney's Office released a statement noting that the US Attorney's Office collected nearly USD60 million in civil, criminal and asset forfeiture actions in the 2016 fiscal year.⁷⁹⁶

⁷⁹⁰ OGE Amends the Executive Branch Gift Rules, The United States Office of Government Ethics (Washington, D.C.) 18 November 2016. Access Date: 10 January 2016.

<https://www.oge.gov/web/oge.nsf/Director's%20Notes/CD041295FB43740F8525806F005DA97F?opendocument>

⁷⁹¹ Justice Department Recovers Over \$4.7 Billion From False Claims Act Cases in Fiscal Year 2016, United States Department of Justice (Washington, D.C.) 14 December 2016. Access Date: 10 January 2016.

<https://www.justice.gov/opa/pr/justice-department-recovers-over-47-billion-false-claims-act-cases-fiscal-year-2016>

⁷⁹² Responsible Business Conduct: First National Action Plan for the United States of America, United States Department of State (Washington, D.C.) 16 December 2016. Access Date: 10 January 2016.

<https://www.state.gov/e/eb/eppd/csr/naprbc/265706.htm>

⁷⁹³ Argentina and the United States sign an Agreement on the exchange of tax information to combat tax evasion, Ministry of Treasury and Public Finances (Buenos Aires) 23 December 2016. Access Date: 3 January 2017.

<http://www.economia.gov.ar/argentina-y-estados-unidos-firman-un-acuerdo-sobre-intercambio-de-informacion-tributaria-para-luchar-contra-la-evasion-fiscal/>

⁷⁹⁴ Argentina and the United States sign an Agreement on the exchange of tax information to combat tax evasion, Ministry of Treasury and Public Finances (Buenos Aires) 23 December 2016. Access Date: 3 January 2017.

<http://www.economia.gov.ar/argentina-y-estados-unidos-firman-un-acuerdo-sobre-intercambio-de-informacion-tributaria-para-luchar-contra-la-evasion-fiscal/>

⁷⁹⁵ Argentina and the United States sign an Agreement on the exchange of tax information to combat tax evasion, Ministry of Treasury and Public Finances (Buenos Aires) 23 December 2016. Access Date: 3 January 2017.

<http://www.economia.gov.ar/argentina-y-estados-unidos-firman-un-acuerdo-sobre-intercambio-de-informacion-tributaria-para-luchar-contra-la-evasion-fiscal/>

⁷⁹⁶ US Attorney's Office Collected Nearly \$60 Million in Civil, Criminal and Asset Forfeiture Actions in Fiscal Year 2016, The United States Department of Justice (Washington, D.C.) 29 December 2016. Access Date: 10 January 2016.

<https://www.justice.gov/usao-ndil/pr/us-attorney-s-office-collected-nearly-60-million-civil-criminal-and-asset-forfeiture>

The United States has taken actions to improve public and private sector transparency and integrity, despite not addressing private sector transparency and integrity. Thus, the United States receives a score of +1.

Analyst: Jerry Zhu

European Union: 0

The European Union has partially complied with its commitment to improve public and private sector transparency.

On 11 September 2016, President Jean-Claude Juncker announced that he had ordered a probe into Jose Manuel Barroso's new position at Goldman Sachs.⁷⁹⁷ Barroso was the former head of the Commission. He took the position 20 months after leaving office, which was in compliance with the EU rules that restrict former commissioners from taking new jobs for 18 months, but his new role is arguably being seen as a violation of the rule stipulating that commissioners are obliged to act "with integrity and discretion after their time in office."⁷⁹⁸

On 28 September 2016, the European Commission proposed new legislation to strengthen the European Union's transparency rules by creating a mandatory lobbying disclosure for all three institutions - the European Commission, the European Parliament and the European Council.⁷⁹⁹ Currently, the Commission allows its members and top staff to meet only with lobbyists who have publically disclosed their activities on the European Union Transparency Register, the European Parliament restricts access to its buildings to lobbyists who have registered, whereas the Council has no such restrictions.⁸⁰⁰ The new proposal aims to unify the process so that all the institutions have the same rules to increase the European Union's transparency and win back the trust of its citizens.⁸⁰¹

On 3 January 2017, the European Commission welcomed new transparency legislations aimed to ensure that members of the European Union have all the necessary information on tax rulings given to multinational companies in other EU countries.⁸⁰² Under these new rules, member states are required to automatically exchange information of all new cross-border tax rulings through a central depository accessible to all members.⁸⁰³

The European Union has taken some actions to improve public sector transparency and integrity, as well as addressed private sector transparency and integrity. Thus, the European Union receives a score of 0.

Analyst: Rinchen-Dolma Karma

⁷⁹⁷ Amid outrage, EU Commission launches probe into Barroso's new job at Goldman Sachs, Deutsche Welle (Berlin/Bonn) 12 September 2016. Access Date: 7 November 2016. <http://www.dw.com/en/amid-outrage-eu-commission-launches-probe-into-barrosos-new-job-at-goldman-sachs/a-19546249>

⁷⁹⁸ Amid outrage, EU Commission launches probe into Barroso's new job at Goldman Sachs, Deutsche Welle (Berlin/Bonn) 12 September 2016. Access Date: 7 November 2016. <http://www.dw.com/en/amid-outrage-eu-commission-launches-probe-into-barrosos-new-job-at-goldman-sachs/a-19546249>

⁷⁹⁹ Commission proposes tougher lobbying rules, Politico (Arlington County) 29 September 2016. Access Date: 8 November 2016. <http://www.politico.eu/article/commission-proposes-tougher-lobbying-rules-transparency/>

⁸⁰⁰ Commission proposes tougher lobbying rules, Politico (Arlington County) 29 September 2016. Access Date: 8 November 2016. <http://www.politico.eu/article/commission-proposes-tougher-lobbying-rules-transparency/>

⁸⁰¹ Commission proposes tougher lobbying rules, Politico (Arlington County) 29 September 2016. Access Date: 8 November 2016. <http://www.politico.eu/article/commission-proposes-tougher-lobbying-rules-transparency/>

⁸⁰² Commission welcomes entry into force of new transparency rules for tax rulings, Europa (Rome) 3 January 2016. Access Date: 13 January 2016. http://europa.eu/rapid/press-release_IP-16-4494_en.htm

⁸⁰³ Commission welcomes entry into force of new transparency rules for tax rulings, Europa (Rome) 3 January 2016. Access Date: 13 January 2016. http://europa.eu/rapid/press-release_IP-16-4494_en.htm